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(54) Title: METHOD AND APPARATUS FOR PROGRAMMABLE FLUIDIC PROCESSING		
<p>(57) Abstract</p> <p>A method and apparatus for microfluidic processing by programmably manipulating a packet. A material is introduced onto a reaction surface and compartmentalized to form a packet. A position of the packet is sensed with a position sensor. A programmable manipulation force is applied to the packet at the position. The programmable manipulation force is adjustable according to packet position by a controller. The packet is programmably moved according to the programmable manipulation force along arbitrarily chosen paths.</p>		

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## METHOD AND APPARATUS FOR PROGRAMMABLE FLUIDIC PROCESSING

## BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention relates generally to fluidic processing and, more particularly, to a method and apparatus for programmably manipulating and interacting one or more compartmentalized packets of material on a reaction surface.

2. Description of Related Art

Chemical protocols often involve a number of processing steps including metering, mixing, transporting, division, and other manipulation of fluids. For example, fluids are often prepared in test tubes, metered out using pipettes, transported into different test tubes, and mixed with other fluids to promote one or more reactions. During such procedures, reagents, intermediates, and/or final reaction products may be monitored, measured, or sensed in analytical apparatus. Microfluidic processing generally involves such processing and monitoring using minute quantities of fluid. Microfluidic processing finds applications in vast fields of study and industry including, for instance, diagnostic medicine, environmental testing, agriculture, chemical and biological warfare detection, space medicine, molecular biology, chemistry, biochemistry, food science, clinical studies, and pharmaceutical pursuits.

A current approach to fluidic and microfluidic processing utilizes a number of microfluidic channels that are configured with microvalves, pumps, connectors, mixers, and detectors. While devices using micro-scale implementations of these traditional approaches may exhibit at least a degree of utility, vast room for improvement remains. For instance, pumps and valves used in traditional fluidic transportation are mechanical. Mechanical devices, particularly when coupled to thin microchannels, may be prone to failure or blockage. In particular, thin channels may become narrowed or partially-blocked due to buildup of channel contamination, which, in turn, may lead to mechanical failure of associated devices. Current microfluidic devices also lack flexibility, for they rely upon a fixed pathway of microchannels. With fixed pathways, devices are limited in

1 the number and type of tasks they may perform. Also, using fixed pathways makes many  
2 types of metering, transport, and manipulation difficult. With traditional devices, it is  
3 difficult to partition one type of sample from another within a channel.

4 Electrical properties of materials have been employed to perform a limited  
5 number of fluidic processing tasks. For example, dielectrophoresis has been utilized to  
6 aid in the characterization and separation of particles, including biological cells. An  
7 example of such a device is described in U. S. Patent No. 5,344,535 to Betts, incorporated  
8 herein by reference. Betts establishes dielectrophoretic collection rates and collection rate  
9 spectra for dielectrically polarizable particles in a suspension. Particle concentrations at a  
10 certain location downstream of an electrode structure are measured using a light source  
11 and a light detector, which measures the increased or decreased absorption or scattering  
12 of the light which, in turn, indicates an increase or decrease in the concentration of  
13 particles suspended in the fluid. Although useful for determining particle  
14 dielectrophoretic properties, such a system is limited in application. In particular, such a  
15 system does not allow for general fluidic processing involving various interactions,  
16 sometimes performed simultaneously, such as metering, mixing, fusing, transporting,  
17 division, and general manipulation of multiple reagents and reaction products.

18 Another example of using certain electrical properties for specific types of  
19 processing is disclosed in U.S. Patent No. 5,632,957 to Heller *et al.*, incorporated herein  
20 by reference. There, controlled hybridization may be achieved using a matrix or array of  
21 electronically addressable microlocations in conjunction with a permeation layer, an  
22 attachment region and a reservoir. An activated microlocation attracts charged binding  
23 entities towards an electrode. When the binding entity contacts the attachment layer,  
24 which is situated upon the permeation layer, the functionalized specific binding entity  
25 becomes covalently attached to the attachment layer. Although useful for specific tasks  
26 such as DNA hybridization, room for improvement remains. In particular, such a system,  
27 utilizing attachment sites for certain binding entities is designed for particular  
28 applications and not for general fluidic processing of a variety of fluids. More

1 specifically, such a system is designed for use with charged binding entities that interact  
2 with attachment sites.

3 Another example of processing is disclosed in U.S. Patent No. 5,126,022 to Soane  
4 *et al.*, incorporated herein by reference. There, charged molecules may be moved through  
5 a medium that fills a trench in response to electric fields generated by electrodes.  
6 Although useful for tasks such as separation, room for improvement remains in that such  
7 devices are not well suited for performing a wide variety of fluidic processing interactions  
8 on a wide variety of different materials.

9 There are other examples of using dielectrophoresis for performing specific,  
10 limited fluidic processing tasks. U.S. Patent No. 5,795,457 to Pethig and Burt,  
11 incorporated herein by reference, disclose a method for promoting reactions between  
12 particles suspended in liquid by applying two or more electrical fields of different  
13 frequencies to electrode arrays. While perhaps useful for facilitating certain interactions  
14 between many particles of different types, the method is not well suited for general fluidic  
15 processing. U.S. Patent No. 4,390,403 to Batchelder, incorporated herein by reference,  
16 discloses a method and apparatus for manipulation of chemical species by  
17 dielectrophoretic forces. Although useful for inducing certain chemical reactions, its  
18 flexibility is limited, and it does not allow for general, programmable fluidic processing.

19 Any problems or shortcomings enumerated in the foregoing are not intended to be  
20 exhaustive but rather are among many that tend to impair the effectiveness of previously  
21 known processing techniques. Other noteworthy problems may also exist; however,  
22 those presented above should be sufficient to demonstrate that apparatus and methods  
23 appearing in the art have not been altogether satisfactory.

## 24 SUMMARY OF THE INVENTION

25 In one respect, the invention is an apparatus for programmably manipulating a  
26 packet. As used herein, "packet" refers to compartmentalized matter and may refer to a  
27 fluid packet, an encapsulated packet, and/or a solid packet. A fluid packet refers to one or  
28 more packets of liquids or gases. A fluid packet may refer to a droplet or bubble of a

1 liquid or gas. A fluid packet may refer to a droplet of water, a droplet of reagent, a  
2 droplet of solvent, a droplet of solution, a droplet of sample, a particle or cell suspension,  
3 a droplet of an intermediate product, a droplet of a final reaction product, or a droplet of  
4 any material. An example of a fluid packet is a droplet of aqueous solution suspended in  
5 oil. An encapsulated packet refers to a packet enclosed by a layer of material. An  
6 encapsulated packet may refer to vesicle or other microcapsule of liquid or gas that may  
7 contain a reagent, a sample, a particle, a cell, an intermediate product, a final reaction  
8 product, or any material. The surface of an encapsulated packet may be coated with a  
9 reagent, a sample, a particle or cell, an intermediate product, a final reaction product, or  
10 any material. An example of an encapsulated packet is a lipid vesicle containing an  
11 aqueous solution of reagent suspended in water. A solid packet refers to a solid material  
12 that may contain, or be covered with a reagent, a sample, a particle or cell, an  
13 intermediate product, a final reaction product, or any material. An example of a solid  
14 packet is a latex microsphere with reagent bound to its surface suspended in an aqueous  
15 solution. Methods for producing packets as defined herein are known in the art. Packets  
16 may be made to vary greatly in size and shape, but in embodiments described herein,  
17 packets may have a diameter between about 100 nm and about 1 cm.

18 In this respect, the invention includes a reaction surface, an inlet port, means for  
19 generating a programmable manipulation force upon the packet, a position sensor, and a  
20 controller. The reaction surface is configured to provide an interaction site for the packet.  
21 The inlet port is coupled to the reaction surface and is configured to introduce the packet  
22 onto the reaction surface. The means for generating a programmable manipulation force  
23 upon the packet programmably moves the packet about the reaction surface along  
24 arbitrarily chosen paths. As used herein, by "arbitrarily chosen paths" it is meant that  
25 paths may be chosen to have any shape about the reaction surface. Arbitrarily chosen  
26 paths are not limited to movements that are predefined. Arbitrarily chosen paths may be  
27 modified in an unlimited manner about the reaction surface and may hence trace out any  
28 pattern. The position sensor is coupled to the reaction surface and is configured to sense  
29 a position of the packet on the reaction surface. The controller is coupled to the means  
30 for generating a programmable manipulation force and to the position sensor. The

1 controller is configured to adjust the programmable manipulation force according to the  
2 position.

3 In other aspects, the apparatus may also include an outlet port coupled to the  
4 reaction surface. The outlet port may be configured to collect the packet from the  
5 reaction surface. The means for generating a manipulation force may include a conductor  
6 adapted to generate an electric field. The means for generating a manipulation force may  
7 include a light source. The manipulation force may include a dielectrophoretic force, an  
8 electrophoretic force, an optical force, a mechanical force, or any combination thereof.  
9 The position sensor may include a conductor configured to measure an electrical  
10 impedance of the packet. The position sensor may include an optical system configured  
11 to monitor the position of the packet. The means for generating a programmable  
12 manipulation force and the position sensor may be integral.

13 In another respect, the invention is an apparatus for microfluidic processing by  
14 programmably manipulating packets. The apparatus includes a reaction surface, an inlet  
15 port, an array of driving electrodes, and an array of impedance sensing electrodes. As  
16 used herein, an "array" refers to any grouping or arrangement. An array may be a linear  
17 arrangement of elements. It may also be a two dimensional grouping having columns and  
18 rows. Columns and rows need not be uniformly spaced or orthogonal. An array may also  
19 be any three dimensional arrangement. The reaction surface is configured to provide an  
20 interaction site for the packets. The inlet port is coupled to the reaction surface and is  
21 configured to introduce the packets onto the reaction surface. The array of driving  
22 electrodes is coupled to the reaction surface and is configured to generate a  
23 programmable manipulation force upon the packets to direct the microfluidic processing  
24 by moving the packets along arbitrarily chosen paths. The array of impedance sensing  
25 electrodes is coupled to the reaction surface and is configured to sense positions of the  
26 packets during the microfluidic processing.

27 In other aspects, the apparatus may also include an outlet port coupled to the  
28 reaction surface. The outlet port may be configured to collect the packets from the  
29 reaction surface. The apparatus may also include a controller coupled to the array of

1 driving electrodes and to the array of impedance sensing electrodes. The controller may  
2 be adapted to provide a feedback from the array of impedance sensing electrodes to the  
3 array of driving electrodes. The array of driving electrodes and the array of impedance  
4 sensing electrodes may be integral. The apparatus may also include an integrated circuit  
5 coupled to the array of driving electrodes and to the array of impedance sensing  
6 electrodes. The apparatus may also include a coating modifying a hydrophobicity of the  
7 reaction surface. The apparatus may also include a maintenance port.

8 In another respect, the invention is an apparatus for processing packets in a  
9 partitioning medium. As used herein, a "partitioning medium" refers to matter that may  
10 be adapted to suspend and compartmentalize other matter to form packets on a reaction  
11 surface. A partitioning medium may act by utilizing differences in hydrophobicity  
12 between a fluid and a packet. For instance, hydrocarbon molecules may serve as a  
13 partitioning medium for packets of aqueous solution because molecules of an aqueous  
14 solution introduced into a suspending hydrocarbon fluid will strongly tend to stay  
15 associated with one another. This phenomenon is referred to as a hydrophobic effect, and  
16 it allows for compartmentalization and easy transport of packets upon or over a surface.  
17 A partitioning medium may also be a dielectric carrier liquid which is immiscible with  
18 sample solutions. Other suitable partitioning mediums include, but are not limited to, air,  
19 aqueous solutions, organic solvents, oils, and hydrocarbons. The apparatus includes a  
20 chamber, a programmable dielectrophoretic array, and an impedance sensing array. As  
21 used herein, a "programmable dielectrophoretic array" (PDA) refers to an electrode array  
22 whose individual elements can be addressed with different electrical signals. The  
23 addressing of electrode elements with electrical signals may initiate different field  
24 distributions and generate dielectrophoretic manipulation forces that trap, repel, transport,  
25 or perform other manipulations upon packets on and above the electrode plane. By  
26 programmably addressing electrode elements within the array with electrical signals,  
27 electric field distributions and manipulation forces acting upon packets may be  
28 programmable so that packets may be manipulated along arbitrarily chosen or  
29 predetermined paths. The chamber is configured to contain the packets and the  
30 partitioning medium. The programmable dielectrophoretic array is coupled to the



1 chamber and is configured to generate a programmable dielectrophoretic force to direct  
2 processing of the packets. The impedance sensing array of electrodes is integral with the  
3 programmable dielectrophoretic array. The impedance sensing array of electrodes is  
4 configured to sense a position of the packets within the chamber.

5 In other aspects, the apparatus may also include an integrated circuit coupled to  
6 the programmable dielectrophoretic array and to the impedance sensing array of  
7 electrodes. The apparatus may also include a controller coupled to the programmable  
8 dielectrophoretic array and to the impedance sensing array of electrodes. The controller  
9 may be adapted to provide a feedback from the impedance sensing array of electrodes to  
10 the programmable dielectrophoretic array. The electrodes may be between about 1  
11 micron and about 200 microns and may be spaced between about 1 micron and about 200  
12 microns.

13 In another respect, the invention is a method for manipulating a packet in which  
14 the following are provided: a reaction surface, an inlet port coupled to the reaction  
15 surface, means for generating a programmable manipulation force upon the packet, a  
16 position sensor coupled to the reaction surface, and a controller coupled to the means for  
17 generating a programmable manipulation force and to the position sensor. A material is  
18 introduced onto the reaction surface with the inlet port. The material is  
19 compartmentalized to form the packet. A position of the packet is sensed with the  
20 position sensor. A programmable manipulation force is applied on the packet at the  
21 position with the means for generating a programmable manipulation force. The  
22 programmable manipulation force is adjustable according to the position by the  
23 controller. The packet is programmably moved according to the programmable  
24 manipulation force along arbitrarily chosen paths.

25 In other aspects, the packet may include a fluid packet, an encapsulated packet, or  
26 a solid packet. The compartmentalizing may include suspending the material in a  
27 partitioning medium. The material may be immiscible in the partitioning medium. The  
28 reaction surface may include a coating, and the hydrophobicity of the coating may be  
29 greater than a hydrophobicity of the partitioning medium. The application of the

1 programmable manipulation force may include applying a driving signal to one or more  
2 driving electrodes arranged in an array to generate the programmable manipulation force.  
3 The programmable manipulation force may include a dielectrophoretic force, an  
4 electrophoretic force, an optical force, a mechanical force, or any combination thereof.  
5 The sensing of a position may include applying a sensing signal to one or more  
6 impedance sensing electrodes arranged in an array to detect an impedance associated with  
7 the packet.

8 In another respect, the invention is a method of fluidic processing in which the  
9 following are provided: a reaction surface, an inlet port coupled to the reaction surface, an  
10 array of driving electrodes coupled to the reaction surface, and an array of impedance  
11 sensing electrodes coupled to the reaction surface. One or more materials are introduced  
12 onto the reaction surface with the inlet port. The one or more materials are  
13 compartmentalized to form a plurality of packets. A sensing signal is applied to one or  
14 more of the impedance sensing electrodes to determine a position of one or more of the  
15 plurality of packets. A driving signal is applied to one or more of the driving electrodes  
16 to generate a programmable manipulation force on one or more of the plurality of packets  
17 at the position. One or more of the plurality of packets are interacted according to the  
18 programmable manipulation force.

19 In other aspects, at least one of the plurality of packets may include a fluid packet,  
20 an encapsulated packet, or a solid packet. The sensing signal and the driving signal may  
21 be a single processing signal. The processing signal may include a first frequency  
22 component corresponding to the sensing signal and a second frequency component  
23 corresponding to the driving signal. A packet distribution map may be formed according  
24 to the positions of the plurality of packets. A position of one or more obstructions on the  
25 reaction surface may be determined. The interacting of one or more packets may include  
26 moving, fusing, merging, mixing, reacting, metering, dividing, splitting, sensing,  
27 collecting, or any combination thereof.

28 In another respect, the invention is a method for manipulating one or more packets  
29 on a reaction surface in which the following are provided: a programmable

1 dielectrophoretic array coupled to the reaction surface and an impedance sensing array of  
2 electrodes integral with the programmable dielectrophoretic array. A material is  
3 introduced onto the reaction surface. The material is compartmentalized to form the one  
4 or more packets. A path is specified upon the reaction surface. A programmable  
5 manipulation force is applied with the programmable dielectrophoretic array on the one or  
6 more packets to move the one or more packets along the path. A position of the one or  
7 more packets is sensed with the impedance sensing array of electrodes. Whether the  
8 position corresponds to the path is monitored. The one or more packets are interacted.

9 In other aspects, at least one of the one or more packets may include a fluid  
10 packet, an encapsulated packet, or a solid packet. The method may also include sensing a  
11 position of an obstruction; determining a modified path, the modified path avoiding the  
12 obstruction; and applying a programmable manipulation force on the one or more packets  
13 to move the one or more packets along the modified path. The specification of a path  
14 may include specifying an initial position and a final position. The introduction of the  
15 material may include extracting the material with a dielectrophoretic extraction force  
16 from an injector onto the reaction surface. The interacting of one or more packets may  
17 include moving, fusing, merging, mixing, reacting, metering, dividing, splitting, sensing,  
18 collecting, or any combination thereof.

19 Other features and advantages of the present invention will become apparent with  
20 reference to the following description of typical embodiments in connection with the  
21 accompanying drawings wherein like reference numerals have been applied to like  
22 elements, in which:

23

24

## BRIEF DESCRIPTION OF THE DRAWINGS

25 FIG. 1 is a simplified schematic diagram that illustrates a microfluidic device  
26 according to one embodiment of the presently disclosed method and apparatus.

27 FIG. 2 is a simplified illustration of dielectrophoretic force phenomenon.

1           **FIG. 3** illustrates a position sensing system according to one embodiment of the  
2           presently disclosed method and apparatus.

3           **FIG. 4** is a three dimensional view of a microfluidic device according to one  
4           embodiment of the presently disclosed method and apparatus.

5           **FIG. 5** is a side cross sectional view of a microfluidic device according to one  
6           embodiment of the presently disclosed method and apparatus.

7           **FIG. 6** is a simplified block representation of a microfluidic system according to  
8           one embodiment of the presently disclosed method and apparatus.

9           **FIG. 7** is a simplified block representation of a signal application arrangement  
10          according to one embodiment of the presently disclosed method and apparatus.

11          **FIG. 8** is a cross sectional view of microfluidic device according to one  
12          embodiment of the presently disclosed method and apparatus.

13          **FIG. 9** is a top view of a microfluidic device according to one embodiment of the  
14          presently disclosed method and apparatus.

15          **FIG. 9B** is another top view of a microfluidic device according to one  
16          embodiment of the presently disclosed method and apparatus.

17          **FIG. 10** is a simplified block representation of a microfluidic system according to  
18          one embodiment of the presently disclosed method and apparatus.

19          **FIG. 11** is a top view of a microfluidic device showing a microfluidic process  
20          according to one embodiment of the presently disclosed method and apparatus.

21          **FIG. 12** illustrates certain packet interactions according to one embodiment of the  
22          presently disclosed method and apparatus.

23          **FIG. 13** is a flow chart showing a microfluidic process according to one  
24          embodiment of the presently disclosed method and apparatus.

## DESCRIPTION OF ILLUSTRATIVE EMBODIMENTS

The disclosed method and apparatus provide many advantages. For instance, they permit the fluidic processing of minute quantities of samples and reagents. The apparatus need not use conventional hardware components such as valves, mixers, pump. The apparatus may be readily miniaturized and its processes may be automated or programmed. The apparatus may be used for many different types of microfluidic processing and protocols, and it may be operated in parallel mode whereby multiple fluidic processing tasks and reactions are performed simultaneously within a single chamber. Because it need not rely on narrow tubes or channels, blockages may be minimized or eliminated. Further, if obstructions do exist, those obstructions may be located and avoided with position sensing techniques.

Allowing for flexible microfluidic processing, the disclosed method and apparatus has vast applications including, but not limited to, blood and urine assays, pathogen detection, pollution monitoring, water monitoring, fertilizer analysis, the detection of chemical and biological warfare agents, food pathogen detection, quality control and blending, massively parallel molecular biological protocols, genetic engineering, oncogene detection, and pharmaceutical development and testing.

In one embodiment of the disclosed method and apparatus, a fluidic device 10 as shown in FIG. 1 is employed. As illustrated, fluidic device 10 may include a reaction surface 12, a port 15, packets 21, wall 22, position sensor 23, a force generator 25, and a controller 81.

In operation, one or more materials may be introduced onto reaction surface 12 through port 15. The one or more materials may be compartmentalized to form packets 21 within a partitioning medium (not shown). Force generator 25 generates a manipulation force on packets 21 to facilitate fluidic manipulations and interactions. In the illustrated embodiment, force generator 25 generates two forces,  $F_1$  and  $F_2$ , that

1     manipulate packets 21 and moves them according to the dashed lines of **FIG. 1**. Position  
2     sensor 23 senses the positions of packets 21 and is able to monitor any packet  
3     interactions. As position sensor 23 is coupled to force generator 25 by controller 81, a  
4     feedback relationship may be established. Such feedback may include determination of  
5     the position of packets 21 on reaction surface 12 that allows for the application of  
6     manipulation forces on packets 21 based on position information. The position of  
7     packets during manipulation may thus be continuously monitored and this information  
8     may be used to continuously adjust one or more manipulation forces so to achieve  
9     movement of packets 21 along a desired trajectory to a desired location on reaction  
10    surface 12.

11         In the illustrated embodiment of **FIG. 1**, forces  $F_1$  or  $F_2$  may include many  
12     different types of forces. For instance, forces  $F_1$  and  $F_2$  may be dielectrophoretic,  
13     electrophoretic, optical (as may arise, for example, through the use of optical tweezers),  
14     mechanical (as may arise, for example, from elastic traveling waves or from acoustic  
15     waves), or any other suitable type of force (or combination thereof). In one embodiment,  
16     forces  $F_1$  and  $F_2$  may be programmable. Using programmable forces, packets may be  
17     manipulated along arbitrarily chosen paths.

18         In the illustrated embodiment of **Fig. 1**, position sensor 23 may be operated with  
19     various mechanisms to sense positions of packets 21. For instance, an optical imaging  
20     system may be used to determine and monitor packet positions. Specifically, an optical  
21     microscope may be connected to a CCD imaging camera, which may be interfaced with  
22     an imaging card in a computer. The information from the imaging card may be processed  
23     in the computer using image-analysis software. Alternatively, a CCD imaging device  
24     may be incorporated in or above the reaction surface 12 to monitor the positions of  
25     packets. Thus, positions of packets and their movement on reaction surface 12 may be  
26     continuously monitored and recorded in the computer. A different mechanism of packet  
27     position sensing uses electrical impedance measurements. The presence or absence of a  
28     packet between two electrode elements may affect the electrical impedance between the

1 electrodes. Thus, measurement of electrical impedance between electrode elements may  
2 allow for indirect monitoring of packet positions.

3 In order to better understand the operation and design of the currently disclosed  
4 method and apparatus, which will be discussed first in relation to dielectrophoretic forces,  
5 it is useful to discuss dielectrophoretic theory in some detail. Such a discussion is aided  
6 by FIG. 2, which illustrates two packets, 21a and 21b, both being subjected to  
7 dielectrophoretic forces.

8 Dielectrophoretic forces may arise when a packet is placed in an inhomogeneous  
9 electrical field (AC or DC). In Fig. 2 the electrical field is weaker on the left side than on  
10 the right side. An electrical field induces electrical polarizations in the packet. The  
11 polarization charges are depicted at the two ends of the packets 21a and 21b along the  
12 field lines 35. Dielectrophoretic forces result from the interaction between the induced  
13 polarization (labeled as  $m_1$  and  $m_2$  in FIG. 2) and the applied inhomogeneous field. If a  
14 packet is suspended in a medium having different dielectric properties, such as a  
15 partitioning medium, then the packet may remain compartmentalized and may readily  
16 respond to manipulation forces against viscous drag. In a field of non-uniform strength, a  
17 packet may be directed towards either strong (packet 21a) or weak (packet 21b) electrical  
18 field regions, depending on whether the packet is more (packet 21a) or less (packet 21b)  
19 polarizable than a partitioning medium. In a field of non-uniform phase distribution (i.e.  
20 a traveling electrical field), a packet may be directed towards field regions of larger or  
21 smaller phase distribution, depending whether the packet has a longer or shorter dielectric  
22 response time than that of a partitioning medium.

### 23 *DEP theory*

24 When a packet of radius  $r$ , suspended in an immiscible medium of different  
25 dielectric properties, is subjected to an electrical field of frequency  $f$ , the polarization of  
26 the packet can be represented using an effective dipole moment (Wang *et al.*, "A Unified  
27 Theory of Dielectrophoresis and Traveling Wave Dielectrophoresis", Journal of Physics  
28 D: Applied Physics, Vol 27, pp. 1571-1574, 1994, incorporated herein by reference)

$$\bar{m}(f) = 4\pi\epsilon_m r^3 P_{CM}(f) \bar{E}(f) \quad (1)$$

where  $\bar{m}(f)$  and  $\bar{E}(f)$  are the dipole moment and field vectors in the frequency domain,  $P_{CM}(f)$  is the so-called Clausius-Mossotti factor, given by

$$P_{CM}(f) = (\epsilon_d^* - \epsilon_m^*) / (\epsilon_d^* + 2\epsilon_m^*). \quad (2)$$

Here  $\epsilon_k^* = \epsilon_k - j\sigma_k / (2\pi f)$  are the complex permittivities of the packet material ( $k = d$ ) and its suspension medium ( $k = m$ ), and  $\epsilon$  and  $\sigma$  refer to the dielectric permittivity and electrical conductivity, respectively. Using the effective dipole moment method, the DEP forces acting on the packet are given by

$$\bar{F}(f) = 2\pi r^3 \epsilon_m \left( \text{Re}[P(f)] \nabla E_{(rms)}^2 + \text{Im}[P(f)] (E_{x0}^2 \nabla \varphi_{x0} + E_{y0}^2 \nabla \varphi_{y0} + E_{z0}^2 \nabla \varphi_{z0}) \right) \quad (3)$$

where  $E(rms)$  is the RMS value of the field strength,  $E_{i0}$  and  $\varphi_{i0}$  ( $i=x, y, z$ ) are the magnitude and phase, respectively, of the field components in a Cartesian coordinate frame. Equation (3) shows that the DEP force contains two independent terms. The first, relating to the real (in phase) part of the polarization factor  $\text{Re}[P(f)]$  and to non-uniformities in the field *magnitude* ( $\nabla E_{(rms)}^2$ ). Depending on the sign of  $\text{Re}[P(f)]$ , this force directs the packet either toward strong or weak field regions. The second term relates to the imaginary (out of phase) part of the polarization factor ( $\text{Im}[P(f)]$ ) and to field phase non-uniformities ( $\nabla \varphi_{i0}$ ,  $i=x, y, z$ ) that correspond to the field traveling through space from large to small phase regions. Depending on the sign of  $\text{Im}[P(f)]$ , this directs packets toward regions where the phase values of the field components are larger or smaller.

Equations (1-3) indicate that the DEP phenomena have the following characteristics:

(1) DEP forces experienced by packets are dependent on the dielectric properties of the packets ( $\epsilon_d^*$ ) and the partitioning medium ( $\epsilon_m^*$ ).



1           (2)    The strong dependence of three-dimensional DEP forces on the field  
2   configuration allows for versatility in implementing dielectrophoretic manipulations.

3    *DEP Forces on Packets*

4           In one embodiment, a conventional dielectrophoresis component may be used for  
5   packet manipulation. In this case, the DEP force is given by

6    
$$\bar{F}(f) = 2\pi r^3 \epsilon_m \text{Re}[P(f)] \nabla E_{(rms)}^2 \quad (4)$$

7           where  $r$  is the packet radius,  $\epsilon_m$  is the dielectric permittivity of the suspending  
8   fluid.  $\text{Re}[P(f)]$  is the real (in phase) part of the polarization factor and  $\nabla E_{(rms)}^2$  is the  
9   field non-uniformity factor. For packets of water ( $\epsilon = 78$  and  $\sigma > 10^{-4}$  S/m) suspended  
10   in a hydrocarbon fluid ( $\epsilon = \sim 2$  and  $\sigma \sim 0$ ), the factor  $\text{Re}[P(f)]$  is always positive and  
11   close to unity. Therefore, water packets are always attracted towards regions of large  
12   field strength. For example, if an electrode array composed of circular electrodes  
13   arranged in a hexagonal fashion is provided, water packets may be dielectrophoretically  
14   moved towards and trapped between, for example, an electrode pair, over a single  
15   electrode, or above a plurality of electrodes to which electrical signals are applied.  
16   Switching the electrical signals may result in movement of the DEP traps and may cause  
17   water packets to move in a chamber. Thus, packet manipulation may be realized by  
18   switching electrical signals applied to an electrode array so that DEP field traps are made  
19   "mobile" within a chamber.

20   *Typical Forces and Velocities*

21           For a water packet of 100  $\mu\text{m}$  suspended in a hydrocarbon fluid such as decane,  
22   the DEP force may be on the order of 1000 pN if the field non-uniformity is  $1.25 \times 10^{13}$   
23    $\text{V}^2/\text{m}^3$  (equivalent to 5V RMS applied to an electrode pair of distance 50  $\mu\text{m}$  with the  
24   field decaying to zero at 1000  $\mu\text{m}$ ). If the viscosity of the hydrocarbon fluid is small  
25   (0.838 mPa for Decane), the packet velocity may be of the order of 600  $\mu\text{m}/\text{sec}$ ,  
26   indicating that fast manipulation of packets is possible with electrode arrays. In the above

1 analysis, DEP force equation (4) has been used, which was developed for non-deformable  
2 particles and holds well for suspended particles (such as cells, latex particles). Fluid  
3 packets may be deformed under the influence of applied electrical field, affecting the  
4 accuracy of equation (4) in describing DEP forces for packets. Nevertheless, equation  
5 (4) should be generally applicable with some possible correction factors for different  
6 packet shapes.

7 **FIG. 3** shows one possible implementation of position sensor 23 of **FIG. 2**.  
8 Shown in **FIG. 3** are five impedance sensing electrodes 19, here illustrated as 19a, 19b,  
9 19c, 19d, and 19e. Each sensing electrode 19 may be coupled to an impedance sensor 29,  
10 here illustrated as impedance sensors 29a, 29b, 29c, and 29d. In one embodiment,  
11 impedance sensing electrodes 19 may be positioned in operative relationship with surface  
12 12 of fluidic device 10 in **FIG. 1**. For instance, sensing electrodes 19 may be placed on  
13 or near surface 12. As packets 21 are manipulated about surface 12 by the application of  
14 appropriate manipulation forces, impedance sensing electrodes 19 and sensors 29 may  
15 sense a position of packets 21 by making one or more impedance measurements.

16 If the dielectric medium above an electrode is displaced by a packet having  
17 different dielectric and/or conductive properties, the impedance detected at the electrode  
18 element will change. Thus, one may determine the position of packets 21 by noting the  
19 impedance measurements associated therewith. As is shown in **FIG. 3**, the impedance  
20 between impedance sensing electrodes 19a and 19b is "high" (see impedance sensor 29d)  
21 relative to, for instance, the impedance between impedance sensing electrodes 19b and  
22 19c (see impedance sensor 29c). Thus, by pre-determining that the "high" impedance  
23 value corresponds to the impedance due to the partitioning medium, it may be deduced  
24 that some material of different impedance to the partitioning medium lies somewhere  
25 between impedance sensing electrodes 19d and 19e and between 19b and 19c because the  
26 impedance associated with those electrodes is "low" (see impedance sensor 29a). By  
27 like reasoning, one may assume that no packet lies between impedance sensing electrodes  
28 19c and 19d, for the impedance between those two electrodes is relatively "high" (see  
29 impedance sensor 29b and 29c).

1 Those of skill in the art will appreciate that the "low" and "high" values discussed  
 2 above may be reversed, depending upon the relative impedances of a packet and of a  
 3 suspending medium. In other words, in some situations, a relatively "high" impedance  
 4 measurement may signal the presence of a packet in between a pair of electrodes while a  
 5 relatively "low" impedance may signal the lack of a packet. Those of skill in the art will  
 6 also appreciate that individual impedance measurements may exhibit a wide range of  
 7 values (not just "low" or "high"), and it may be possible to characterize different types  
 8 and sizes of materials by noting their associated impedance measurements. For instance,  
 9 one may be able to differentiate, by type, the two packets 21 of FIG. 3 by noting any  
 10 differences in their impedance readings on impedance sensors 29a and 29c.

11 Impedance sensing may be based on the so-called mixture theory, which  
 12 associates the impedance of a heterogeneous system with the dielectric properties of  
 13 various system components and their volume fractions. Take a two-component,  
 14 heterogeneous system where component 2 having complex dielectric permittivity  
 15 ( $\epsilon_2^* = \epsilon_2 - j \frac{\sigma_2}{2\pi f}$ ,  $f$  is the frequency) and a volume fraction  $\alpha$  is suspended in  
 16 component 1 having complex dielectric permittivity ( $\epsilon_1^* = \epsilon_1 - j \frac{\sigma_1}{2\pi f}$ ). The complex  
 17 permittivity of the total system is given by (Wang *et al.*, "Theoretical and experimental  
 18 investigations of the interdependence of the dielectric, dielectrophoretic and  
 19 electrorotational behavior of colloidal particles" in J. Phys. D: Appl. Phys. 26: 312-322,  
 20 1993, incorporated herein by reference)

$$21 \quad \epsilon_{sys}^* = \epsilon_1^* \frac{\frac{1}{\alpha} + 2 \frac{\epsilon_2^* - \epsilon_1^*}{\epsilon_2^* + 2\epsilon_1^*}}{\frac{1}{\alpha} - \frac{\epsilon_2^* - \epsilon_1^*}{\epsilon_2^* + 2\epsilon_1^*}}.$$

22 The total impedance of the system, which is assumed to have length  $L$  and cross-  
 23 sectional area  $A$ , is given by

$$\Omega = \frac{L}{\omega \epsilon_{ys}^* A}$$

The electrical impedance between two electrode elements in the presence or absence of a packet may be analyzed using the above equations, with the parameters  $L$  and  $A$  determined experimentally. The existence of a packet may correspond to  $\alpha > 0$  and the absence of a packet may correspond to  $\alpha = 0$ . From these equations, an impedance change would occur when a packet having different dielectric property ( $\epsilon_2^*$ ) from the partitioning media ( $\epsilon_1^*$ ) is introduced into the space between the two electrode elements.

A relatively low impedance measurement may indicate an obstruction or a packet (as is illustrated in **FIG. 3**) on or near surface **12**. By determining impedance values, one may map locations of obstructions or packets relative to surface **12**. In this way, one may generate a packet and/or obstruction distribution map with respect to reaction surface **12** of fluidic device **10**. With the benefit of this disclosure, one of skill in the art will appreciate that the description associated with **FIG. 3** may be implemented in many different ways. In particular, one may use any suitable type of impedance measurement devices known in the art to function with one or more electrodes. Such devices may include an impedance analyzer, a DC/AC conductance meter, or any circuit based upon methods of operation of these or other instruments having similar function.

**FIG. 4** shows a three dimensional view of one embodiment of a fluidic device **10** according to the present disclosure. Fluidic device **10** includes reaction surface **12**, an inlet port **14**, an outlet port **16**, driving electrodes **18**, impedance sensing electrodes **19**, connectors **20**, and wall **22**.

Reaction surface **12** provides an interaction site for packets. In one embodiment, reaction surface **12** may be completely or partially covered with a partitioning medium (not shown in **FIG. 4**) or other substance. In one embodiment, reaction surface **12** may be coated. In particular, for manipulation of aqueous packets in a hydrophobic partitioning medium, reaction surface **12** may include a hydrophobic coating, or layer,

1   having a hydrophobicity similar to or greater than the hydrophobicity of the partitioning  
2   medium. Such a coating may prevent an aqueous packet from sticking, from spreading,  
3   or from becoming unstable upon contact with reaction surface 12. Additionally, a coating  
4   may modify association and/or interaction forces between packets and reaction surfaces to  
5   facilitate manipulation of packets by appropriate manipulation forces. Further, a coating  
6   may be used to reduce contamination of reaction surfaces by reagents in packets. Still  
7   further, a coating may facilitate the deliberate adhesion, wetting, or sensing of packets at  
8   or on reaction surfaces. If a dielectric layer coating is applied, the layer should be made  
9   sufficiently thin to allow AC electric field penetration through the dielectric layer. In one  
10   embodiment, the thickness of the layer may be between about 2 nm and about 1 micron.  
11   In one embodiment, a hydrophobic coating may be Teflon that may be applied by means  
12   known in the art such as sputtering or spin-coating. It is to be understood that any other  
13   suitable coating that modifies an interaction between packets and the reaction surface may  
14   be used.

15       Reaction surface 12 may be formed from a number of suitable materials. In the  
16   illustrated embodiment, reaction surface 12 is a planar surface that has an upper surface  
17   including driving electrodes 18 and impedance sensing electrodes 19. Although  
18   illustrated as being coplanar with reaction surface 12, it is to be understood that driving  
19   electrodes 18 and 19 may also be elevated or depressed with respect to reaction  
20   surface 12. Likewise, reaction surface 12 need not be planar. Rather, it may have  
21   concave or convex portions, or it may be deformed in some other manner. Reaction  
22   surface 12 may be glass, silicon dioxide, a polymer, a ceramic, or any suitable electrically  
23   insulating material. The dimensions of reaction surface 12 may vary widely depending on  
24   the application but may be between about 20 microns by about 20 microns and about 50  
25   centimeters by about 50 centimeters. More particularly, reaction surface 12 may be  
26   between about 3 millimeters by about 3 millimeters and about 30 centimeters by about 30  
27   centimeters.

28       Inlet port 14 may be adapted to inject or introduce materials onto reaction  
29   surface 12 and may be any structure allowing ingress to reaction surface 12. In the

1 illustrated embodiment, inlet port 14 consists of an opening in wall 22. Such an opening  
2 may be of any suitable size or shape. Alternatively, inlet port 14 may be a syringe needle  
3 a micropipette, a tube, an inkjet injector, or any other suitable device able to inject a  
4 material for introduction onto reaction surface 12. Using a micropipette or equivalent  
5 device, wall 22 may not need to include any openings. Rather, material may be  
6 introduced onto reaction surface 12 from above. A micropipette or any other equivalent  
7 device may be attached to a micromanipulation stage (not shown in FIG. 4) so that  
8 material may be precisely deposited onto specific locations of reaction surface 12. In one  
9 embodiment, inlet port 14 may consist of a cylindrical tube opening onto reaction  
10 surface 12. Such a tube may have a diameter of between about 1 micrometer and about 1  
11 mm and, more particularly, between about 10 and 100 microns.

12 Outlet port 16 may be adapted to collect packets of material from reaction surface  
13 12. Outlet port 16 may be any structure allowing egress from reaction surface 12. In the  
14 illustrated embodiment, outlet port 16 consists of an opening in wall 22. The opening  
15 may be of any suitable size or shape. Alternatively, outlet port 16 may be a micropipette  
16 or any other equivalent device able to collect a material from reaction surface 12. Wall  
17 22 may not need to include any openings. Rather, packets of material may be collected  
18 from reaction surface 12 from above. A syringe or any other equivalent device may be  
19 attached to a micromanipulation stage (not shown in FIG. 4) so that packets may be  
20 precisely collected from specific locations on reaction surface 12. In one embodiment,  
21 outlet port 16 may consist of a cylindrical tube opening onto reaction surface 12. Such a  
22 tube may have a diameter of about 1 millimeter and a length of about 3 centimeters or  
23 longer.

24 In one embodiment, inlet port 14 and outlet port 16 may be integral. For instance,  
25 in the embodiment shown in FIG. 1 port 15 is a cylindrical tube opening onto reaction  
26 surface 12. In alternative embodiments, one micropipette may serve as both an inlet port  
27 and an outlet port. Alternatively, a single opening in wall 22 may serve both input and  
28 output functions. In another embodiment, multiple inlet and outlet ports may be utilized.

1           Fluidic device **10** may include an arbitrary number of inlet and outlet ports. For  
2           example, any one of the three unnumbered openings in wall **22**, illustrated in **FIG. 4**, may  
3           serve as an inlet port, an outlet port, or an integral inlet-outlet port, such as port **15** of  
4           **FIG. 1**. In another embodiment, multiple inlet and/or outlet ports may extend completely  
5           or partially along a wall **22** so that materials may be introduced and/or collected to and/or  
6           from reaction surface **12**. In such an embodiment, one may more precisely introduce or  
7           collect materials.

8           In **FIG. 4**, driving electrode **18** is one of a number of other driving electrodes  
9           arranged in an array upon reaction surface **12**. In this embodiment, driving electrodes **18**  
10          may be associated with force generator **25** of **FIG. 1**, for the driving electrodes **18** may  
11          contribute to the generation of forces, such as forces  $F_1$  and  $F_2$  of **FIG. 1**, to manipulate  
12          packets of material on reaction surface **12** to promote, for instance, microfluidic  
13          interactions.

14          Dielectrophoretic forces may be generated by an array of individual driving  
15          electrodes **18** fabricated on an upper surface of a reaction surface **12**. The driving  
16          electrode elements **18** may be individually addressable with AC or DC electrical signals.  
17          Applying an appropriate signal to driving electrode **18** sets up an electrical field that  
18          generates a dielectrophoretic force that acts upon a packet, known to be at a certain  
19          location through impedance measurements as described above in relation to **FIG. 3**.  
20          Switching different signals to different electrodes sets up electrical field distributions  
21          within fluidic device **10**. Such electrical field distributions may be utilized to manipulate  
22          packets in a partitioning medium.

23          In particular, the movement of packets under the influence of a manipulation force  
24          may be controlled by switching appropriate electrical signals to different combinations of  
25          driving electrodes **18**. Specifically, the switching of electrical signals may initiate  
26          different field distributions and generate manipulation forces that trap, repel, transport, or  
27          perform other manipulations upon packets of material. By programmably switching  
28          electrical signals to different combinations of driving electrodes **18** within an array,

1 electric field distributions and manipulation forces acting upon packets may be  
2 programmable so that packets may be manipulated along arbitrarily chosen or  
3 predetermined paths in a partitioning medium along reaction surface 12. Thus, packets  
4 may be manipulated in an unlimited manner. Signals may be appropriately switched to  
5 cause, for instance, a packet to move a single "unit distance" -- a distance between two  
6 neighboring electrodes. Further, by programmably switching electrical signals, different  
7 microfluidic reactions may be performed in series or in parallel. An electrode array  
8 having such an ability to utilize programmable dielectrophoretic forces by programmed  
9 switching of electrical signals to different combinations of driving electrodes 18 may be  
10 termed a programmable dielectrophoretic array (PDA).

11 In FIG. 4, impedance sensing electrode 19 is one of a number of other impedance  
12 sensing electrodes arranged in an array upon reaction surface 12. In this embodiment,  
13 impedance sensing electrodes 19 may be associated with position sensor 23 of FIG. 1 and  
14 is illustrated in FIG. 3. Impedance sensing electrodes 19 contribute to the sensing of  
15 packet positions upon reaction surface 12 so that those packets of material may be  
16 monitored and manipulated according to position.

17 In the illustrated embodiment, driving electrodes 18 and impedance sensing  
18 electrodes 19 are electrodes of a two dimensional electrode array coupled to a top surface  
19 of reaction surface 12. The size of the array may vary according to need, but in one  
20 embodiment a 16 by 16 array is employed. Because fluidic device 10 is scaleable,  
21 smaller or larger arrays may be fabricated without significant departure from the present  
22 disclosure. For example, 256 by 256 arrays or larger may be made according to the  
23 present disclosure. Driving electrodes 18 and impedance sensing electrodes 19 within an  
24 array may be uniformly or non-uniformly spaced. The spacing may vary widely, but in  
25 one embodiment, the spacing may be between about 2 microns and about 200 microns.  
26 The electrodes may have different forms such as lines, squares, circles, diamonds,  
27 polygons, or other suitable shapes. The dimensions of each electrode may vary, but a  
28 typical electrode may be between about 0.2 microns and about 10 mm., and more  
29 particularly, between about 1 micron and about 200 microns. Driving electrodes 18 and



1 impedance sensing electrodes **19** may be formed using any method known in the art. In  
2 one embodiment, such electrodes may be formed using standard photolithography  
3 techniques. For example, one may refer to, e.g., D. Qin *et al*, "Microfabrication,  
4 Microstructures and Microsystems", Microsystem Technology in Chemistry and Life  
5 Sciences (Ed. Manz and Becker), Springer, Berlin, 1997, pp 1- 20, which is incorporated  
6 herein by reference. Also, one may refer to Madou, Fundamentals of Microfabrication,  
7 CRC Press, Boca Raton, 1997, which is incorporated herein by reference. Depending  
8 upon the particular application, and the nature of the packets and partitioning medium,  
9 the size and spacing of electrodes **18** and **19** may be smaller than, of similar size, or larger  
10 than the diameters of the packets.

11 In one embodiment, impedance sensing electrodes **19** may be integral with driving  
12 electrodes **18**. In such an embodiment, the resulting array may be termed an integral  
13 array. With an integral array, a single conductor coupled to reaction surface **12** may serve  
14 both purposes -- driving packets and sensing positions of packets. Thus, a programmable  
15 manipulation force may be generated upon packets upon reaction surface **12** and a  
16 position of those packets may be sensed with a single electrode array.

17 In the embodiment of **FIG. 4**, wall **22** is adapted to enclose one or more sides of  
18 reaction surface **12**. It is to be understood that wall **22** may be any suitable structure  
19 capable of enclosing one or more sides and/or the top of reaction surface **12**. As  
20 illustrated, wall **22** encloses four sides of reaction surface **12**, defining an open reaction  
21 surface chamber. In a most typical embodiment, the chamber may have a thickness of  
22 between about 10 microns and about 20 millimeters. In another embodiment, wall **22**  
23 may enclose the top of reaction surface **12**, forming a closed reaction chamber.

24 Wall **22** may be formed from any suitable material. In one embodiment, wall **22**  
25 may be made from machined plastic, aluminum, glass, plastic, ceramic, or any  
26 combination thereof. In one embodiment, wall **22** may be partially or completely  
27 transparent to certain wavelengths of radiation. Thus, radiation may be transmitted

1 through wall 22 to initiate or maintain certain microfluidic reactions or processes for  
2 sensing. For instance, a photochemical reaction may be initiated through wall 22.

3 Connectors 20 of FIG. 4 may be adapted to provide electrical connections to  
4 driving electrodes 18 and to impedance sensing electrodes 19. Connectors 20 may  
5 provide electrical connections to an entire array of electrodes, or to preselected ones or  
6 groups. In one embodiment, connectors 20 are coupled to a controller (not shown in  
7 FIG. 4) that may adjust a programmable manipulation force distribution generated by  
8 driving electrodes 18 according one or more packets position sensed with impedance  
9 sensing electrodes 19. Thus, such a controller may effectively provide a feedback  
10 mechanism between the driving electrodes 18 and the impedance sensing electrodes 19 --  
11 The signals applied to driving electrodes 18 may be adjusted in view of one or more  
12 results from the impedance sensing electrodes 19.

13 Turning now to FIG. 5, there is shown a side cross section view of a fluidic  
14 device 10 according to the present disclosure. Fluidic device 10 includes a reaction  
15 chamber 41 and an array of integral impedance sensing and driving electrodes, integral  
16 array 43. In the illustrated embodiment, a control chip 60 is coupled to integral array 43.  
17 Positioned upon a top surface of control chip 60 may be capillary wall 62 that forms a  
18 lower surface of a capillary 64. Capillary 64 may lead to an inlet port 14 that leads into  
19 chamber 41. Although illustrated with only one inlet port, it is contemplated that there  
20 may be several such ports providing access to chamber 41. Above capillary 64 is a  
21 substrate 66 that, in one embodiment, is made of glass although any suitable material  
22 known in the art may be utilized instead.

23 In one embodiment, control chip 60 may be an integrated circuit configured to  
24 control integrated array 43. Alternatively, control chip 60 may be a control interface  
25 leading to another controlling device such as an integrated circuit, computer, or similar  
26 device that may control integral array 43. Control chip 60 may utilize flip-chip  
27 technology or any other suitable technique to establish electrical control over integral  
28 array 43 by switching different signals to and from it.

1       **FIG. 6** shows a controller **81** according to one embodiment of the presently  
2       disclosed method and apparatus. Controller **81** may include a computer **80**, a signal  
3       generator **82**, an electrode selector **84**, a transducer **88**, and a fluidic device **10** having a  
4       driving electrode **18** and an impedance sensing electrode **19**.

5       Computer **80** may be configured to control fluidic device **10** and the fluid  
6       processing occurring upon reaction surface **12**. Computer **80** may have a user interface  
7       that allows for simple programming of signal generator **82** and transducer **88**, which  
8       measures impedance, to allow for programmable microfluidic processing. In particular,  
9       computer **80** may programmably control the initiation/termination of one or more signals  
10      from signal generator **82**, the parameters of the one or more signals including frequencies,  
11      voltages, and particular waveforms, and control the switching of one or more signals from  
12      generator **82** to different combinations of electrodes **18** and **19**.

13      Computer **80** may vary signals in many ways. For instance, one signal having a  
14      first frequency component may be sent through electrode selector **84** to a driving  
15      electrode **18** while another signal having a second, different frequency component may be  
16      sent to, for instance, an impedance sensing electrode **19** and through electrode  
17      selector **84**. Any sequence of signals or combinations of signals may be sent different  
18      combinations of electrodes and from the fluidic device **10**. Any signal parameter may be  
19      varied and any electrode selection may be controlled so that appropriate electric fields  
20      may be established at particular locations upon reaction surface **12**. Alternating Current  
21      or Direct Current signals may be utilized.

22      Signal generator **82** may send a driving signal to one or more driving electrodes  
23      **18** while sending a sensing signal to one or more impedance sensing electrodes **19**. In  
24      one embodiment, the driving signal and the sensing signal may comprise a single,  
25      composite processing signal having different frequency components. Such a signal may  
26      be used with an integrated array to provide (*via* a single processing signal) a frequency  
27      component to generate a programmable manipulation force and a frequency component to  
28      provide an impedance sensing signal. The manipulation and impedance sensing

1 components may also be combined by multiplexing or switching in time as is known in  
2 the art.

3 In one embodiment, signal generator 82 provides one or more programmable  
4 driving signals to one or more driving electrodes 18 through electrode selector 84 so that  
5 a programmable alternating-current electric field, such as a non-uniform field, may be  
6 produced at reaction surface 12. That electric field may induce polarization of packets of  
7 materials adjacent to or in the vicinity of the one or more driving electrodes 18. A  
8 programmable dielectrophoretic force distribution may, in this manner, be generated that  
9 manipulates packets in a controllable, programmable manner so that varied  
10 programmable fluidic interactions may take place upon reaction surface 12.

11 In one embodiment, signal generator 82 provides a sensing signal to one or more  
12 impedance sensing electrodes 19 so that an impedance measurement may be made. The  
13 impedance sensing signal may be applied to one or more pairs of impedance sensing  
14 electrodes 19 and a change in voltage or current may be detected and transmitted to  
15 computer 80 via sensing electrodes 88 and wire 86. Computer 80 may then compute the  
16 impedance and hence, determine whether a packet or obstruction was present at or near  
17 the pair(s) of impedance sensing electrodes 19 being probed.

18 In an embodiment utilizing a single integrated array (instead of separate  
19 impedance sensing and driving electrode arrays, an integrated array utilizes electrodes  
20 that function to both drive and sense packets), the integrated array may both generate a  
21 programmable manipulation force and sense an impedance. In one approach, electrical  
22 sensing signals for sensing electrode impedance may be applied at different frequencies  
23 from driving signals for manipulation of packets. Summing signal amplifiers (not shown)  
24 may be used to combine signals from sensing and driving electronics. By using a  
25 frequency filter network (not shown), electrode impedance sensing signals may be  
26 isolated from the driving signals. For example, a constant current at sensing frequency  $f_s$   
27 may be applied to integrated electrode pairs to be measured. The sensing electronics 88 ,  
28 may then be operated at only the applied frequency  $f_s$  to determine a voltage drops across

1 the integrated electrode pairs, thus allowing the impedance at the sensing frequency  $f_s$  to  
2 be derived without interference from the driving signals.

3 In another embodiment, driving signals may be used to monitor electrical  
4 impedance directly. Driving signals may be switched to one or more integrated  
5 electrodes to generate a force to manipulate or interact packets upon a reaction surface.  
6 Simultaneously, an electrical current sensing circuit may be used to measure electrical  
7 current going through the energized integrated electrodes. Electrode impedances may be  
8 derived from such measurements of electrical current.

9 Although any suitable device may be used, in one embodiment a function  
10 generator is used as signal generator 82. More particularly, an arbitrary waveform signal  
11 generator in combination with voltage or power amplifies or a transformer may be used to  
12 generate the required voltages. In one embodiment, signal generator 82 may provide  
13 sine-wave signals having a frequency up to the range of GHz and more particularly  
14 between about 1 kHz and about 10 MHz and a voltage between about 1 V peak-to-peak  
15 and about 1000 V peak-to-peak, and more particularly, between about 10 V peak-to-peak  
16 and about 100 V peak-to-peak.

17 As illustrated, signal generator 82 may be connected to an electrode selector 84.  
18 Electrode selector 84 may apply one or more signals from signal generator 82 to one or  
19 more individual electrodes (impedance sensing electrodes and/or driving electrodes may  
20 be individually addressable). Electrode selector 84 may be one of a number of suitable  
21 devices including a switch, a multiplexer, or the like. Alternatively, electrode selector 84  
22 may apply one or more signals to one or more groups of electrodes. In one embodiment,  
23 selector 84 is made of electronic switches or a multiplexer. Selector 84 may be digitally-  
24 controlled. With the benefit of this disclosure, those of skill in the art will understand  
25 that selector 84 may be any suitable device that may programmably divert one or more  
26 signals to one or more electrodes in any arbitrary manner.

27 As illustrated in FIG. 6, controller 81 provides a feedback loop mechanism from  
28 impedance sensing electrodes 19 to driving electrodes 18 via computer 80, which itself is

1 coupled to signal generator 82, selector 84, and transducer 88. With the benefit of the  
2 present disclosure, those of skill in the art will recognize that controller 81 may contain  
3 more or fewer components. The feedback mechanism allows computer 80 to tailor its  
4 commands to signal generator 82 according to positions of packets upon reaction surface  
5 12, as determined by impedance sensing electrodes 19. Thus, controller 81 allows for the  
6 adjustment of driving signals (and hence the adjustment of programmable manipulation  
7 forces) according to positions of packets (as determined by impedance sensing electrodes  
8 19). In embodiments utilizing an integral array of electrodes having integral impedance  
9 sensing electrodes 19 and driving electrodes 18, a feedback mechanism may operate as  
10 follows. Positions of packets may be determined by measuring impedances between  
11 electrical elements by applying impedance sensing signals to the integral array. Position  
12 information may then be used to control driving signals to the integral array to perform  
13 microfluidic processing through the manipulation of packets. In one embodiment  
14 computer 80 may be replaced by an application specific integrated circuit controller  
15 (ASIC) designed specifically for the purpose.

16 **FIG. 7** shows an electrode driver 94 according to an embodiment of the presently  
17 disclosed method and apparatus. Driver 94 includes a computer 80, a signal generator 82,  
18 a resistor network 100, a switching network 104, and a bitmap 108. Driver 94 is coupled  
19 to fluidic device 10 which includes reaction surface 12 and an integral array 43.

20 Driver 94 may assist in the application of signals to integral array 43 in order to  
21 direct microfluidic interactions of packets of material upon reaction surface 12. In one  
22 embodiment, computer 80 directs signal generator 82 to apply an AC signal to integral  
23 array 43. In the illustrated embodiment, from signal generator 82 there may be provided,  
24 for example, eight increasing voltage amplitudes using resistor network 100, although  
25 more or fewer voltage amplitudes may be used. The eight AC signals may be distributed  
26 by switching network 104 *via* connection 106 to the integral array 43 according to a  
27 bitmap 108 or according to any other suitable data structure stored in computer 80 or in  
28 another device. By modifying bitmap 108 *via* computer 80, different voltage amplitudes  
29 may be applied to different electrodes.

1           In one embodiment, signals to each electrode of integral array 43 may be  
2   represented in bitmap 108 by 3 bits to address eight available voltage amplitudes.  
3   Voltage amplitude distributions of bitmap 108 may be transmitted sequentially to  
4   switching network 104 *via* connection 110 twelve bits at a time using a communication  
5   protocol as is known in the art. In one embodiment, the communication protocol may use  
6   the following convention. To address a single electrode of integral array 43, the first four  
7   bits may specify the row of the array. The second four bits may specify the column of the  
8   array. The next three bits may specify the desired voltage to be applied. The last bit may  
9   be used for error control by parity check. The rows/column arrangement may be used for  
10   different layouts of arrays. For instance, the row/column convention of addressing may  
11   be used even for a hexagonal grid array configuration. Those skilled in the art will  
12   appreciate that other methods may be used to address the electronic switching network  
13   104 from computer 80.

14           FIG. 8 is side cross-section view of one embodiment of a fluidic device 10.  
15   Fluidic device 10 includes a wall 22 which encloses the sides and top of a reaction  
16   surface 12 to form a reaction chamber 41. Reaction surface 12 includes an integral array  
17   43. Coupled to the integral array may be an interface board 54. Interface board 54 may  
18   interface the integral array 43 with integrated circuits 50 *via* interconnect 55 and solder  
19   bumps 52.

20           In the embodiment of FIG. 8, interface board 54 may be sandwiched between  
21   chamber 41 and integrated circuits 50. On one side, interface board 54 may provide  
22   electrical signals (AC or DC) to electrodes of integral array 43, while the other side of  
23   interface board 54 may include pads for flip-chip mounting of integrated circuits 50.  
24   Intermediate layers of interface board 54 may contain electrical leads, interconnects and  
25   vias, such as interconnect 55 to transfer power and signals to and from electrodes of  
26   integral array 43 and integrated circuits 50.

27           Interface board 54 may be fabricated using suitable PC-board and flip chip  
28   technologies as is known in the art. Suitable silk-screened or electroplated flip-chip

1 solder bump techniques may likewise be used. Alternatively, ink-jet solder deposition  
2 may be used as is known in the art.

3 **FIG. 9** is a top view of an embodiment of a fluidic device **10**. In the illustrated  
4 embodiment, fluidic device **10** is made up of four distinct 8 by 8 integral arrays **43**,  
5 forming a 16 by 16 array. Under each 8 by 8 array may be situated an integrated circuit  
6 (not shown in **FIG. 9**) that may provide control and signal processing to electrodes of the  
7 integral array **43**. The integral arrays may be coupled to a circuit conducting pad **34** that  
8 may be coupled to an interface conducting pad **36** by a bond wire **38** (shown only in one  
9 quadrant). Connected to interface conducting pad **36** may be wire **42**, or another suitable  
10 connector such as a PC board connector, leading to a computer or other suitable  
11 controlling device.

12 **FIG. 9B** is another top view of an embodiment of a fluidic device **10**. In this  
13 embodiment, many ports **15** are situated along edges of fluidic device **10**. These ports **15**  
14 may serve to inject and/or collect packets **21** to/from reaction surface **12**. Also illustrated  
15 is a sensor **122** positioned adjacent a port **15**. Such a sensor is described in reference to  
16 **FIG. 10** below.

17 **FIG. 10** is a block diagram of a microfluidic processing system **115**. Processing  
18 system **115** may be designed to allow for control of programmable dielectrophoretic array  
19 (PDA) **116** that serves as the site for microfluidic interactions and may be constructed in  
20 accordance with the present disclosure. In view of its broad functionality, PDA **116** may  
21 serve a role, in the field of fluidic processing, analogous to the role played by a Central  
22 Processing Unit in the field of computers.

23 Coupled to PDA **116** are fluidic sensors **122**. Fluidic sensors **122** may measure  
24 and monitor fluid products from, in, or on PDA **116**. For instance, fluidic sensors **122**  
25 may measure and identify reaction products and may quantify reactions between packets.  
26 In one embodiment, fluidic sensors **122** may include an optical microscope or one or  
27 more sensors (chemical, electrochemical, electrical, optical, or the like), but any other  
28 suitable monitoring device known in the art may be substituted therewith. For example,



1 fluidic sensors 122 may be an electrochemical sensor that monitors the presence and  
2 concentration of electroactive (redox-active) molecules in a packet solution. An  
3 electrochemical sensor may take the form of two or more microelectrodes. In a three-  
4 electrode configuration, for example, electrodes may correspond to working, reference,  
5 and counter electrodes. A packet to be analyzed may be moved to be in contact with the  
6 three electrodes. A voltage signal may be applied between the working and reference  
7 electrode, and the current between the working and counter electrode may be monitored.  
8 The voltage-current relationship allows for the determination of the presence or absence,  
9 and concentration of electro-active molecules in the packet solution. Also attached to  
10 PDA 116 may be suitable material injection and extraction devices 120 coupled to  
11 appropriate inlet or outlet ports of PDA 116 (not shown in FIG. 10). Such devices may  
12 be any suitable structure allowing ingress to and egress from PDA 116.

13 In electrical communication with PDA 116 may be PDA voltage drivers 126 and  
14 dielectric position sensors 124. PDA voltage drivers 126 may be adapted to drive  
15 electrodes within PDA 116 so that an electric field may be established that sets up  
16 manipulation forces that manipulate one or more packets of material within PDA 116 to  
17 promote microfluidic interactions. In one embodiment, PDA voltage drivers 126 may  
18 include a signal generator and switching network as described in relation to FIG. 7.  
19 Dielectric position sensors 124 may measure positions of packets within PDA 116. In  
20 one embodiment, dielectric position sensors 124 may include measuring devices  
21 connected to appropriate sensors that may determine a position of one or more packets of  
22 material by sensing, for instance, a change in impedance between neighboring impedance  
23 sensing electrodes within PDA 116 and by correlating that change in impedance with a  
24 packet positioned adjacent the neighboring sensors according to the teachings of the  
25 present disclosure.

26 Coupled to packet injection and extraction devices 120, PDA voltage drivers 126,  
27 and dielectric position sensors 124 may be computer interface 128. Computer  
28 interface 128 may be configured to allow host computer 130 to interact with PDA 116. In

1 one embodiment, computer interface 128 may be a digital or analog card or board that  
2 may analyze impedance data to obtain a packet distribution map.

3 In the embodiment of FIG. 10, host computer 130 may be coupled to computer  
4 interface 128 to provide for control of PDA 116. Host computer 130 may be coupled to  
5 position tracking agent 132 and to low-level control agent 134. Position tracking agent  
6 132 may be adapted to store, process, and track positions of packets within the fluidic  
7 processor PDA 116. Low-level control agent 134 may be configured to provide  
8 instructions to host computer 130 from library interface 136 and software interface 138.  
9 Library interface 136 may hold various sets of subroutines for programmably  
10 manipulating packets of materials on PDA 116. Software interface 138 that may allow  
11 for custom programming of instructions to be executed by the fluidic processor PDA 116  
12 to programmably manipulate packets. Alternatively established programs of  
13 manipulation instructions for specific fluid processing tests may be read from stored data  
14 and executed by the PDA fluid processor 116.

15 FIG. 11 illustrates operation of the presently disclosed method and apparatus. In  
16 FIG. 11, open squares represent electrodes of an integral array. However, it is  
17 contemplated that the description below applies equally well to a device utilizing separate  
18 impedance sensing electrodes and driving electrodes.

19 In the illustrated embodiment, a packet 21a may be introduced onto reaction  
20 surface 12 adjacent the location represented by integral impedance sensor/electrode 201.  
21 The packet may be compartmentalized in an immiscible partitioning medium (not  
22 shown). The introduction of the packet may be accomplished using an appropriate inlet  
23 port positioned adjacent to electrode 201. Alternatively, a packet may be introduced  
24 adjacent electrode 201 by applying an appropriate signal to electrode 201 to generate an  
25 extraction force that may extract the packet from an inlet port or from an injector directly  
26 onto reaction surface 12 and adjacent to electrode 201.

27 Once positioned upon reaction surface 12, packet 21a may be made to move along  
28 a predetermined path indicated by dashed line 250. A path may be specified in a number

1 of different ways. In one embodiment, a user may specifically define a path. For  
2 instance, one may specify a path, through appropriate programming of a controller or  
3 processing system, such as the one depicted numeral 250. Alternatively, a user may  
4 specify a starting position and an ending position to define a path. For instance, a user  
5 may specify that packet 21a is to be introduced adjacent electrode 201 and end at a  
6 location adjacent electrode 215. Alternatively, one may specify a starting and ending  
7 location with specific path information in between. For instance, a user may specify a  
8 starting position, an ending position, and a wavy path in between. As can be seen from  
9 **FIG. 11**, the path may have any arbitrary shape and it may be programmed in any number  
10 of ways.

11 To move packet 21a generally along the path, electrical signals may be suitably  
12 switched to integral impedance sensors/electrode pairs so that programmable  
13 manipulation forces may be created that act upon packet 21a to propel it generally along  
14 the specified path. As discussed earlier, the signals may be varied in numerous ways to  
15 achieve the proper manipulation force. In the illustrated embodiment, applying voltage  
16 signals to electrode pairs 202 and 203 may create an attractive dielectrophoretic force that  
17 moves packet 21a from electrode 201 towards electrode 203 generally along path 250.  
18 As packet 21a moves generally along a specified path, the integral array may measure  
19 impedances to map the position of the packet upon reaction surface 12. Knowing the  
20 position of a packet allows manipulation forces to be directed at appropriate positions to  
21 achieve a desired microfluidic processing task or interaction. In particular, knowing a  
22 position of a packet allows an appropriate signal to be switched to an appropriate  
23 electrode or electrode pair to generate a manipulation force that further propels or  
24 interacts the packet according to one or more instructions.

25 As packet 21a moves from electrode 201 towards electrode 203, the impedance  
26 between electrode 202 and electrode 203 may change value, indicating that packet 21a is  
27 between, or partially between, those two electrodes. The impedance may be measured as  
28 described in **FIG. 3**. A controller or processing system (not shown in **FIG. 11**) may  
29 register the location of packet 21a and may apply a signal, for instance, to electrode pairs

1    204 and 205, creating an attractive dielectrophoretic force which propels packet 21a  
2    towards those electrodes generally along path 250. As the impedance between electrode  
3    204 and electrode 205 changes value, a controller or processing system may apply a  
4    signal to electrodes 206 and 207 to propel packet 21a along path 250. As packet 21a  
5    continues along path 250, the impedance between electrode 206 and electrode 207 may  
6    change value, indicating the presence of packet 21a adjacent that location along the array.  
7    Thus, as packet 21a moves along path 250, a controller or processing system may  
8    constantly monitor the position of the packet by measuring impedance between electrode  
9    pairs and adjust electrical signals to an appropriate electrode or electrode pair (and hence,  
10   adjust manipulation forces) to continue to propel the packet further along the specified  
11   path.

12        Measuring an impedance between pairs of electrodes not only allows a position of  
13   a packet to be determined, but it also allows for the determination of a location of an  
14   obstruction or blockage upon reaction surface 12. For example, measuring the impedance  
15   between electrodes 211 and 213 may indicate the presence of obstruction 212. By noting  
16   the position of obstruction 212, a controller or processing system may re-route one or  
17   more packets around the obstruction so that no interference with microfluidic processing  
18   interactions occurs. For example, if a path is specified that passes through an area  
19   occupied by obstruction 212, a controller or processing system may modify electrical  
20   signals to propel a packet generally along the specified path while avoiding the  
21   obstruction. For instance, a stronger or weaker signal may be sent to one or more  
22   electrodes or electrode pairs near obstruction 212 to steer a packet clear of the blockage  
23   while still maintaining, generally, the path that was originally specified, and more  
24   particularly, the originally specified end point

25        A controller or processing system according to the presently disclosed method and  
26   apparatus may be programmed to scan for several obstructions and/or packets. Such a  
27   scan may build up a distribution map, showing the location(s) of various packets and/or  
28   obstructions on an entire reaction surface 12 or a portion thereof. Such a distribution map  
29   may be a virtual map, stored, for example, in a computer memory or display. Turning

1 again to **FIG. 11**, impedances of all electrode pairs adjacent to path 250 may be measured  
2 to determine if an obstruction blocks that path or if a packet lies somewhere in that area.  
3 If the path is determined to be clear (e.g., if all the electrode pairs show an impedance  
4 value indicating a clear area), a packet may be safely propelled generally along the path  
5 while avoiding any interactions with other packets and/or obstructions. However, if an  
6 obstruction is discovered, several different actions may be taken. In one embodiment, the  
7 user may be notified that a blockage exists along the specified path. The user may then  
8 specify a different path or give another appropriate instruction. In another embodiment,  
9 the controller or processing system may determine if the obstruction may be avoided  
10 while still maintaining generally the same specified path. If possible, electrical signals  
11 may be modified and delivered to an electrode or electrode pairs to generate appropriate  
12 electrical field distributions that set up proper manipulation forces that will aid in  
13 avoiding the obstruction. Because, at least in part, of this ability to constantly measure  
14 positions and responses of packets during manipulation, a controller or processing system  
15 may be capable of monitoring the integrity of fluidic processing, reporting and correcting  
16 any errors that may occur.

17 **FIG. 11** also depicts how processing may be carried out on two packets. In the  
18 illustrated embodiment, a second packet 21b begins on reaction surface 12 near electrode  
19 217. A second path, path 260, may be specified that ends at electrode 219. As can be  
20 seen, paths 250 and 260 may cross at interaction point 240. At interaction point 240, the  
21 two packets may interact in many ways as illustrated, for example, in **FIG. 12**. The  
22 interaction may include, but is not limited to, fusing, merging, mixing, reacting, dividing,  
23 splitting, or any combination thereof. For instance, the two packets may interact at  
24 interaction point 240 to form one or more intermediate or final reaction products. Those  
25 products may be manipulated in the same or in a similar manner as the two original  
26 packets were manipulated.

27 **FIG. 11** also depicts how maintenance may be performed upon reaction  
28 surface 12. A maintenance packet 21c adapted to perform maintenance upon reaction  
29 surface 12 may be introduced onto reaction surface 12 by a maintenance port (not shown

1 in FIG. 11). A maintenance port may be similar to an inlet port in structure but may be  
2 dedicated to the introduction of one or more maintenance packets 21c designed  
3 specifically, for instance, to clean or maintain reaction surface 12, a surface coating, or  
4 one or more electrodes or sensors. Maintenance packet 21c may also react with an  
5 obstruction in such a way as to remove that obstruction. As illustrated, maintenance  
6 packet 21c may begin near electrode 241. It may then be propelled along path 270,  
7 providing maintenance, perhaps, to electrodes 242 and 243. Maintenance packet 21c may  
8 be propelled back to a maintenance port, extracted from reaction surface 12, and later  
9 used again, or it may be discarded at an outlet part.

10 FIG. 12 demonstrates several different possible fluidic interactions that may be  
11 carried out using the presently disclosed method and apparatus. In the illustrated  
12 embodiment, packets 21 (only one is labeled for convenience) reside upon a reaction  
13 surface 12 having an integral array 43 (only one electrode is labeled for convenience). In  
14 the top pane of FIG. 12, there is shown an interaction in which a single packet is  
15 manipulated on the reaction surface by moving the packet in a programmed fashion. In  
16 the middle pane, two packets, starting at different locations upon the reaction surface, are  
17 directed, via appropriate electrical signals, to come together at a specified location (near  
18 the center of the array) to fuse together, for example, to initiate a reaction. The fused  
19 packet may be manipulated just as the original packets were manipulated. For instance,  
20 the fused packet may be moved to various locations or it may fuse again with another  
21 packet(s). Shown in the bottom pane of FIG. 12 is a splitting interaction. As shown, a  
22 single packet is subjected to different programmable manipulation forces that cause the  
23 packet to split into two distinct packets. Such an interaction may be accomplished by,  
24 first, noting the position of the packet to be split, and then by applying appropriate signals  
25 to electrode pairs to generate two or more opposing forces that cause the packet to split  
26 apart.

27 FIG. 13 is a flowchart showing one embodiment of a method of operation. A  
28 material may be introduced onto a reaction surface and compartmentalized to form one or  
29 more packets in step 300. Multiple materials may be introduced at different locations

1 along reaction surface 12 to form a plurality of packets. A path may be specified as in  
 2 step 310. The path may be designed to accomplish any type of microfluidic processing,  
 3 manipulation, or interaction. Different reactions may be performed in serial or in parallel  
 4 according to different paths. Instructions governing such processing may be embodied in  
 5 the pseudo-code that may be routed through computer interface 128 of FIG. 10.  
 6 Illustrative code may read as follows:

7 Example: AvidinActin.PSL

```

8 Use inlet(1-3), outlet(1-2)
9 Inlet(1) is actin
10 Inlet(2) is avidin
11 Inlet(3) is enzyme
12 Outlet(1) is polymer
13 Outlet(2) is waste
14 Matrix(1,2) is accumulator
15 Clean
16 Do
17     Sactin = (Pull actin)                // pull a new packet on the next
18     Savidin = (Pull avidin)             // available matrix element next to
19     Senzyme = (Pull enzyme)             // the inlets
20     Move Sactin into accumulator         // merges components and enzyme
21     Move Savidin into accumulator       // in a single packet
22     Move Senzyme into accumulator
23     Wait 1000ms
24     ShiftRow accumulator.row, +1         // drag packet left into polymer outlet
25     Move 0.5*accumulator into (2, accumulator.column) // drag half packet to row 2
26     ShiftRow 2, + 1                     // drag packet left into waste
27 Loop Until polymer.count = 10           // number of packet at polymer outlet = 10
28 Clean

```

29 In step 315, computer 80 of FIG. 6 or any other suitable device may determine  
 30 the next unit step along the path specified in step 315. In other words, a path may be  
 31 broken down into unit steps and the next unit step or steps may be determined with  
 32 respect to the specified path. In step 320, a programmable manipulation force is  
 33 generated on reaction surface 12 through the use of any of the mechanisms disclosed  
 34 herein. The programmable manipulation force may manipulate the one or more packets  
 35 according to instructions from a user. In step 330, the response(s) of the one or more  
 36 packets may be monitored. This step may include measuring an impedance on the  
 37 reaction surface as discussed herein. In particular, one may determine whether the one or

1 more packets moved to where they were supposed to, or whether they interacted as  
2 instructed. In step 340, it may be determined if the packet movement was successful --  
3 that is, it may be determined whether the packet ended up at a location corresponding to  
4 the unit step determined in step 315.

5 If a packet movement was successful (i.e., if the packet responded correctly to the  
6 programmable manipulation force(s)), then it may be determined, by comparison with the  
7 specified path, whether or not the packet destination has been reached. In other words, it  
8 may be determined if the packet has moved to the end location of the specified path. If  
9 the destination has not been reached, the next unit step movement may be determined at  
10 step 315 and steps 320, 330, 340, and 365 may be repeated. If the destination has been  
11 reached, it may be determined whether another packet is to be manipulated in step 370.  
12 This step may include a user prompt. If no further packets are to be manipulated, it may  
13 be determined whether fluidic processing is complete in step 380. If yes, the process may  
14 be ended at step 390. Step 390 may include the collecting of one or more packets, further  
15 analysis, throwing away of the reaction surface, or any procedure described herein. If the  
16 processing is not complete, the next step of processing may be determined in step 395.  
17 The next step may entail, for example, the introduction of another packet, the  
18 specification of another path, or any other step of FIG. 13.

19 If a packet manipulation is unsuccessful (i.e., if the applied programmable  
20 manipulation force(s) did not produce a desired interaction or movement along a  
21 specified path as indicated by step 340), one may locate an obstruction upon the reaction  
22 surface as indicated in step 350 and as taught herein. After locating any obstructions, a  
23 new, modified path may be determined or specified as indicated by step 360, leading to  
24 step 310.

25 As mentioned with relation to FIG. 1, the present disclosure contemplates that  
26 many different types of forces may be utilized as a manipulation force for promoting  
27 fluidic interactions among packets of material on a reaction surface. Specifically, suitable  
28 forces other than dielectrophoresis include electrophoretic forces, optical forces,



1 mechanical forces, or any combination thereof. Below are discussed embodiments of the  
2 present disclosure dealing with electrophoretic and optical manipulation forces.

3 *Programmable Electrophoretic Array (PEA)*

4 A fluidic processing system incorporating a programmable electrophoretic array  
5 may be constructed according to the present disclosure. As used herein, "programmable  
6 electrophoretic array" (PEA) refers to an electrode array whose individual elements can  
7 be addressed with DC, pulsed, or low frequency AC electrical signals (typically, less than  
8 about 10 kHz) electrical signals. The addressing of electrode elements with electrical  
9 signals initiates different field distributions and generates electrophoretic manipulation  
10 forces that trap, repel, transport or perform other manipulations upon charged packets on  
11 and above the electrode plane. By programmably addressing electrode elements within  
12 the array with electrical signals, electric field distributions and electrophoretic  
13 manipulation forces acting upon charged packets may be programmable so that packets  
14 may be manipulated along arbitrarily chosen or predetermined paths. A PEA may utilize  
15 electrophoretic forces in DC or low-frequency (typically, less than about 10 kHz) AC  
16 electrical fields. Such electrophoretic forces may be used instead of, or in addition to,  
17 another manipulation forces such as dielectrophoresis.

18 Negative or positive charges may be induced or injected into fluid packets. The  
19 charged packets may be moved or manipulated by electrophoretic forces generated by an  
20 electrode array fabricated on an inner surfaces of a chamber in accordance with this  
21 disclosure. The electrode array, termed a programmable electrophoretic array (PEA),  
22 may consist of uniformly or non-uniformly spaced electrode elements. Individual  
23 electrode elements may be independently addressable with DC, pulsed, or low frequency  
24 AC electrical signals (< about 10 kHz). Characteristic dimensions of individual electrode  
25 elements may be of any size but, in one embodiment, may lie between 0.2 micron and 10  
26 mm. Individual electrode elements may take similar or different geometrical forms such  
27 as squares, circles, diamonds, or other shapes. Programmably switchable electrical  
28 signals may be applied to individual electrode elements so that a programmable electrical  
29 field distribution may be generated. Such a distribution may impose electrophoretic

1 forces to trap, repel, transport or manipulate charged packets in a partitioning medium.  
2 Further, electrical signals may be applied to such an array so that a packet may be broken  
3 down to two or more packets. The programmability of a PEA may be reflected in the fact  
4 that the electric field distributions and electrophoretic forces acting on charged packets  
5 may be programmable so that charged packets may be trapped or repelled or transported  
6 along arbitrarily chosen paths in the partitioning medium, and that a PEA may be  
7 programmed to perform different reactions in series or in parallel where different  
8 manipulation protocols of packets (differing in size, number, and/or reagent type  
9 concentration) may be required. As with PDA surface modification, if a dielectric layer  
10 coating is applied to the surface of a PEA to modify interaction forces between packets  
11 reaction surfaces, the dielectric layer may be made sufficiently thin (typically 2 nm to 1  
12 micron) to allow for electric field penetration.

### 13 *Optical Manipulation*

14 Optical tweezers (which may consist of a focused laser beam with a light intensity  
15 gradient) may be also be used for trapping and manipulating packets of material. Optical  
16 manipulation requires that the refractive indices of the packets be different from that of  
17 their suspending medium, for instance, a partitioning medium as described herein. As  
18 light passes through one or more packets, it may induce fluctuating dipoles. Those  
19 dipoles may interact with electromagnetic field gradients, resulting in optical forces  
20 directed towards or away from the brighter region of the light. If their refractive indices  
21 are higher than that of the partitioning medium, packets may be trapped in a bright  
22 region, and when the laser light moves with respect to the partitioning medium, packets  
23 may follow the light beam, allowing for optical manipulation forces. Conversely, if the  
24 packets have refractive indices smaller than their partitioning medium, they will  
25 experience forces directing them away from bright regions.

26 Therefore, if packets have different refractive indexes from that of the partitioning  
27 medium (e.g., water packets in air or oil), optical tweezers may exert forces on them.  
28 Therefore, to manipulate and interact packets, a microscope or other optical system  
29 incorporating one or more laser tweezers may be used. A chamber containing a

1 partitioning medium in accordance with the present disclosure may be placed into such an  
2 optical system. Following the introduction of packets of material into the chamber, laser  
3 tweezers may be used to trap packets. By moving the focal point of the optical tweezers  
4 with respect to the partitioning medium (e.g., moving a stage holding the thin chamber  
5 containing the partitioning medium whilst fixing the position of laser tweezers and/or by  
6 focusing the laser beam to different depths in the partitioning medium), packets may be  
7 manipulated as described herein. Through the use of apparatus such as a computer-  
8 controllable, multi-axis translation stage, the movement of the optical tweezers with  
9 respect to the suspending medium may be programmed or automatically controlled. Thus  
10 the optical tweezer may be moved, with respect to the medium, along any arbitrarily  
11 chosen or predetermined paths. By doing so, packets under the influences of the optical  
12 tweezers may be manipulated along any arbitrarily chosen or predetermined paths.

### 13 Example 1

14 Aqueous materials have been compartmentalized to form packets using  
15 hydrophobic liquids as a partitioning medium. Partitioning mediums so used have  
16 included decane, bromodocane, mineral oil, and 3 in 1™ oil. Packets have been formed  
17 by briefly sonicating about 3 milliliters of the hydrophobic liquid to which had been  
18 added 20 to 50 microliters of aqueous medium. Aqueous media tested have included  
19 deionized water, tap water (electrical conductivity of about 40 mS/m) and phosphate  
20 buffered saline (PBS) solution.

### 21 Example 2

22 Aqueous packets suspended in mineral oil, bromodocane and 3 in 1™ oil have  
23 been collected by dielectrophoresis by applying sinusoidal signals to gold-on-glass  
24 electrode arrays having 20, 80 and 160 micron spacing, respectively. The 20-micron  
25 electrode array consisted of parallel line electrodes (20 microns in width and spacing).  
26 The 80 and 160 micron electrode arrays were of the interdigitated, castellated geometries.  
27 Aqueous packets were collected at electrode edges or tips when AC voltage signals

1 between 100 Hz and 20 MHz were applied. Applied voltages were from 10 to 100 V  
2 peak-to-peak. The formation of pearl-chains of water packets has also been observed.

3 **Example 3**

4 Aqueous packets in hydrophobic suspension have been brought together and fused  
5 under the influence of dielectrophoretic forces on the same electrode arrays used in  
6 Example 2.

7 **Example 4**

8 Packets have been moved from one electrode element to another under influence  
9 of dielectrophoretic forces when the AC electrical field is switched on an addressable  
10 array of parallel line electrodes having 20 micron width and spacing.

11 **Example 5**

12 Sensitive AC impedance monitors have been built for use with microelectrode  
13 arrays. Such monitors may provide for sensitive dielectric sensing of packet positions.

14 While the present disclosure may be adaptable to various modifications and  
15 alternative forms, specific embodiments have been shown by way of example and  
16 described herein. However, it should be understood that the present disclosure is not  
17 intended to be limited to the particular forms disclosed. Rather, it is to cover all  
18 modifications, equivalents, and alternatives falling within the spirit and scope of the  
19 disclosure as defined by the appended claims. Moreover, the different aspects of the  
20 disclosed apparatus and methods may be utilized in various combinations and/or  
21 independently. Thus the invention is not limited to only those combinations shown  
22 herein, but rather may include other combinations.

1     **WHAT IS CLAIMED IS:**

- 2     1. An apparatus for programmably manipulating a packet, said apparatus comprising:  
3         a reaction surface configured to provide an interaction site for said packet;  
4         an inlet port coupled to said reaction surface and configured to introduce said  
5         packet onto said reaction surface;  
6         means for generating a programmable manipulation force upon said packet to  
7         programmably move said packet about said reaction surface along  
8         arbitrarily chosen paths; and  
9         a position sensor coupled to said reaction surface and configured to sense a  
10         position of said packet on said reaction surface; and  
11         a controller coupled to said means for generating a programmable manipulating  
12         force and to said position sensor, said controller configured to adjust said  
13         programmable manipulation force according to said position.  
14  
15     2. The apparatus of claim 1, further comprising an outlet port coupled to said reaction  
16     surface and configured to collect said packet from said reaction surface.  
17  
18     3. The apparatus of claim 1, wherein said means for generating a manipulation force  
19     comprises a conductor adapted to generate an electric field.  
20  
21     4. The apparatus of claim 1, wherein said means for generating a manipulation force  
22     comprises a light source.  
23  
24     5. The apparatus of claim 1, wherein said manipulation force comprises a  
25     dielectrophoretic force, an electrophoretic force, an optical force, a mechanical force, or  
26     any combination thereof.  
27  
28     6. The apparatus of claim 1, wherein said position sensor comprises a conductor  
29     configured to measure an electrical impedance of said packet.  
30

- 1     7. The apparatus of claim 1, wherein said position sensor comprises an optical system  
2     configured to monitor said position of said packet.  
3
- 4     8. The apparatus of claim 1, wherein said means for generating a programmable  
5     manipulation force and said position sensor are integral.  
6
- 7     9. An apparatus for microfluidic processing by programmably manipulating packets, said  
8     apparatus comprising:  
9         a reaction surface configured to provide an interaction site for said packets;  
10        an inlet port coupled to said reaction surface and configured to introduce said  
11        packets onto said reaction surface;  
12        an array of driving electrodes coupled to said reaction surface and configured to  
13        generate a programmable manipulation force upon said packets to direct  
14        said microfluidic processing by moving said packets along arbitrarily  
15        chosen paths; and  
16        an array of impedance sensing electrodes coupled to said reaction surface and  
17        configured to sense a position of said packets during said microfluidic  
18        processing.  
19
- 20    10. The apparatus of claim 9, further comprising an outlet port coupled to said reaction  
21    surface and configured to collect said packets from said reaction surface.  
22
- 23    11. The apparatus of claim 9, further comprising a controller coupled to said array of  
24    driving electrodes and to said array of impedance sensing electrodes, said controller  
25    adapted to provide a feedback from said array of impedance sensing electrodes to said  
26    array of driving electrodes.  
27
- 28    12. The apparatus of claim 9, wherein said array of driving electrodes and said array of  
29    impedance sensing electrodes are integral.  
30

- 1     13. The apparatus of claim 9 further comprising an integrated circuit coupled to said  
2     array of driving electrodes and to said array of impedance sensing electrodes.  
3
- 4     14. The apparatus of claim 9 further comprising a coating modifying a hydrophobicity of  
5     said reaction surface.  
6
- 7     15. The apparatus of claim 9, further comprising a maintenance port.  
8
- 9     16. An apparatus for processing packets in a partitioning medium, said apparatus  
10    comprising:  
11        a chamber configured to contain said packets and said partitioning medium;  
12        a programmable dielectrophoretic array coupled to said chamber and configured  
13            to generate a programmable dielectrophoretic force to direct processing of  
14            said packets; and  
15        an impedance sensing array of electrodes integral with said programmable  
16            dielectrophoretic array, said impedance sensing array of electrodes  
17            configured to sense a position of said packets within said chamber.  
18
- 19    17. The apparatus of claim 16, further comprising an integrated circuit coupled to said  
20    programmable dielectrophoretic array and to said impedance sensing array of electrodes.  
21
- 22    18. The apparatus of claim 16, further comprising a controller coupled to said  
23    programmable dielectrophoretic array and to said impedance sensing array of electrodes,  
24    said controller adapted to provide a feedback from said impedance sensing array of  
25    electrodes to said programmable dielectrophoretic array.  
26
- 27    19. The apparatus of claim 16, wherein said electrodes are between about 1 micron and  
28    about 200 microns and are spaced between about 1 micron and about 200 microns.  
29
- 30    20. A method for manipulating a packet, comprising:

1 providing a reaction surface, an inlet port coupled to said reaction surface, means  
2 for generating a programmable manipulation force upon said packet, a  
3 position sensor coupled to said reaction surface, and a controller coupled  
4 to said means for generating a programmable manipulation force and to  
5 said position sensor;  
6 introducing a material onto said reaction surface with said inlet port;  
7 compartmentalizing said material to form said packet;  
8 sensing a position of said packet with said position sensor;  
9 applying a programmable manipulation force on said packet at said position with  
10 said means for generating a programmable manipulation force, said  
11 programmable manipulation force being adjustable according to said  
12 position by said controller;  
13 programmably moving said packet according to said programmable manipulation  
14 force along arbitrarily chosen paths.

15  
16 21. The method of claim 20, wherein said packet comprises a fluid packet, an  
17 encapsulated packet, or a solid packet.

18  
19 22. The method of claim 20, wherein said compartmentalizing comprises suspending  
20 said material in a partitioning medium.

21  
22 23. The method of claim 22, wherein said material is immiscible in said partitioning  
23 medium.

24  
25 24. The method of claim 22, wherein said reaction surface includes a coating, and a  
26 hydrophobicity of said coating is greater than a hydrophobicity of said partitioning  
27 medium.

28



- 1 25. The method of claim 20, wherein said applying a programmable manipulation force  
2 comprises applying a driving signal to one or more driving electrodes arranged in an array  
3 to generate said programmable manipulation force.  
4
- 5 26. The method of claim 20, wherein said programmable manipulation force comprises a  
6 dielectrophoretic force, an electrophoretic force, an optical force, a mechanical force, or  
7 any combination thereof.  
8
- 9 27. The method of claim 20, wherein said sensing a position comprises applying a  
10 sensing signal to one or more impedance sensing electrodes arranged in an array to detect  
11 an impedance associated with said packet.  
12
- 13 28. The method of claim 20, further comprising interacting said packet, wherein said  
14 interacting comprises moving, fusing, merging, mixing, reacting, metering, dividing,  
15 splitting, sensing, collecting, or any combination thereof.  
16
- 17 29. A method of fluidic processing, said method comprising:  
18 providing a reaction surface, an inlet port coupled to said reaction surface , an  
19 array of driving electrodes coupled to said reaction surface, and an array of  
20 impedance sensing electrodes coupled to said reaction surface;  
21 introducing one or more materials onto said reaction surface with said inlet port;  
22 compartmentalizing said one or more materials to form a plurality of packets;  
23 applying a sensing signal to one or more of said impedance sensing electrodes to  
24 determine a position of one or more of said plurality of packets; and  
25 applying a driving signal to one or more of said driving electrodes to generate a  
26 programmable manipulation force on one or more of said plurality of  
27 packets at said position; and  
28 interacting one or more of said plurality of packets according to said  
29 programmable manipulation force.  
30

- 1     30. The method of claim 29, wherein at least one of said plurality of packets comprises a  
2     fluid packet, an encapsulated packet, or a solid packet.  
3
- 4     31. The method of claim 29, wherein said sensing signal and said driving signal  
5     comprise a single processing signal.  
6
- 7     32. The method of claim 31, wherein said processing signal comprises a first frequency  
8     component corresponding to said sensing signal and a second frequency component  
9     corresponding to said driving signal.  
10
- 11    33. The method of claim 29, further comprising forming a packet distribution map  
12    according to said positions of said plurality of packets.  
13
- 14    34. The method of claim 29, further comprising determining a position of one or more  
15    obstructions on said reaction surface.  
16
- 17    35. The method of claim 29, wherein said interacting comprises moving, fusing,  
18    merging, mixing, reacting, metering, dividing, splitting, sensing, collecting, or any  
19    combination thereof.  
20
- 21    36. A method for manipulating one or more packets on a reaction surface, comprising:  
22        providing a programmable dielectrophoretic array coupled to said reaction surface  
23            and an impedance sensing array of electrodes integral with said  
24            programmable dielectrophoretic array;  
25        introducing a material onto said reaction surface;  
26        compartmentalizing said material to form said one or more packets;  
27        specifying a path upon said reaction surface;  
28        applying a programmable manipulation force with said programmable  
29            dielectrophoretic array on said one or more packets to move said one or  
30        more packets along said path;

1           sensing a position of said one or more packets with said impedance sensing array  
2           of electrodes;  
3           monitoring whether said position corresponds to said path; and  
4           interacting said one or more packets.

5  
6       37. The method of claim 36, wherein at least one of said one or more packets comprises  
7       a fluid packet, an encapsulated packet, or a solid packet.

8  
9       38. The method of claim 36, further comprising:  
10           sensing a position of an obstruction;  
11           determining a modified path, said modified path avoiding said obstruction; and  
12           applying a programmable manipulation force on said one or more packets to move  
13           said one or more packets along said modified path.

14  
15       39. The method of claim 36, wherein said specifying a path comprises specifying an  
16       initial position and a final position.

17  
18       40. The method of claim 36, wherein said introducing a material comprises extracting  
19       said material with a dielectrophoretic extraction force from an injector onto said reaction  
20       surface.

21  
22       41. The method of claim 36, wherein said interacting comprises moving, fusing,  
23       merging, mixing, reacting, metering, dividing, splitting, sensing, collecting, or any  
24       combination thereof.

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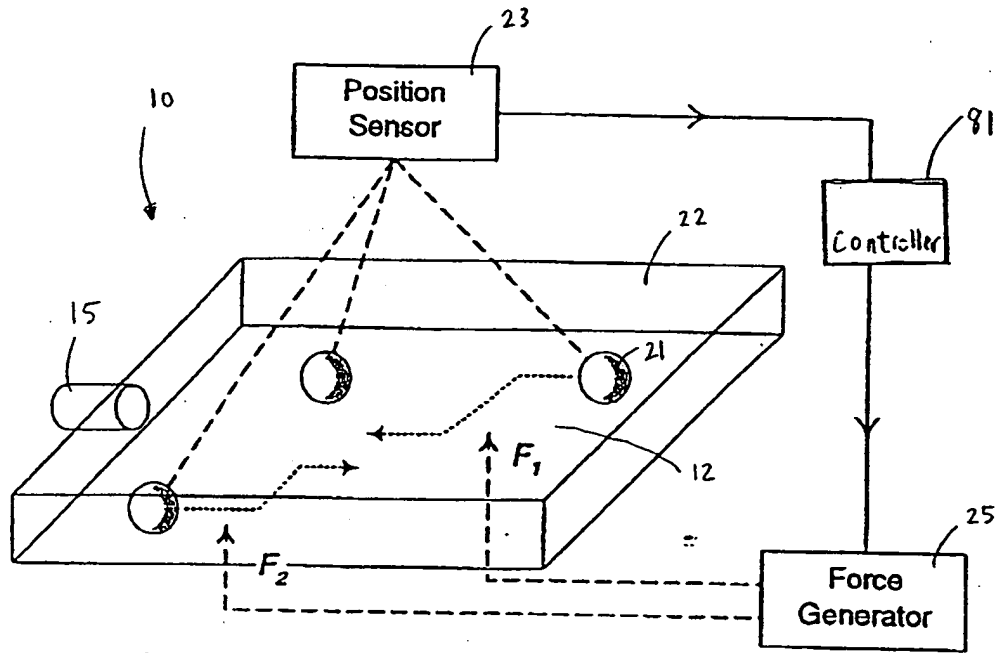


FIG. 1

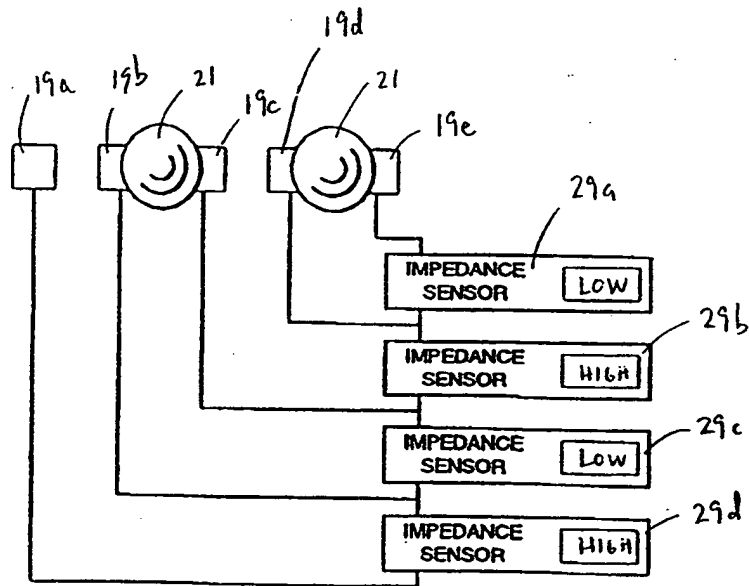


FIG. 3

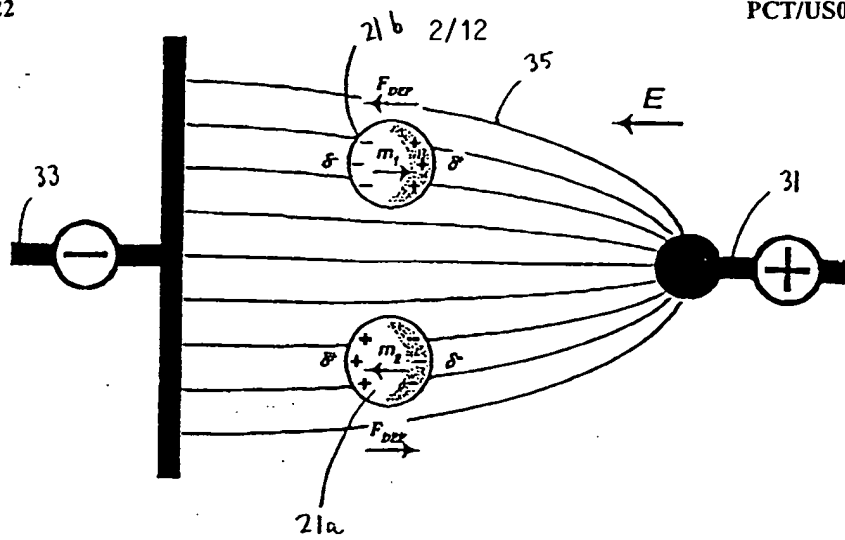


FIG. 2

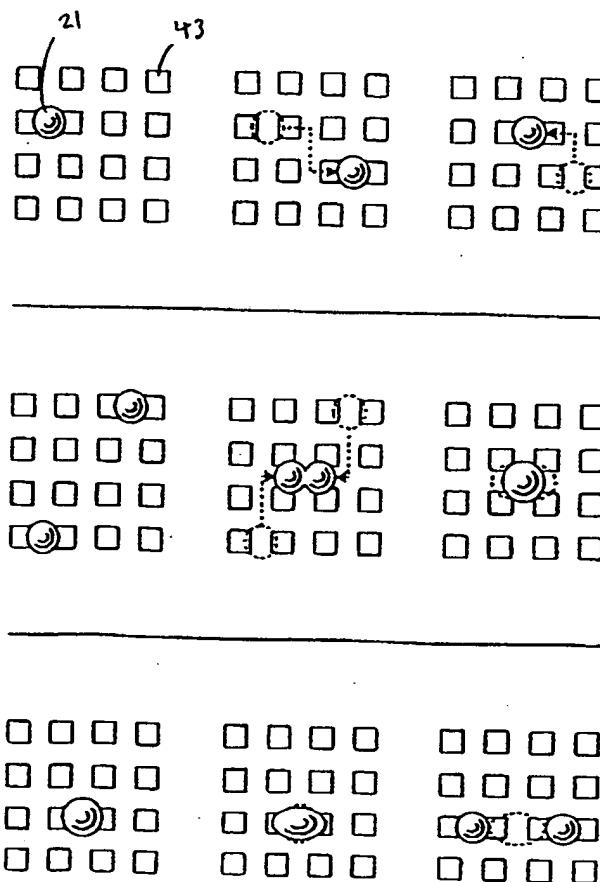


FIG. 12

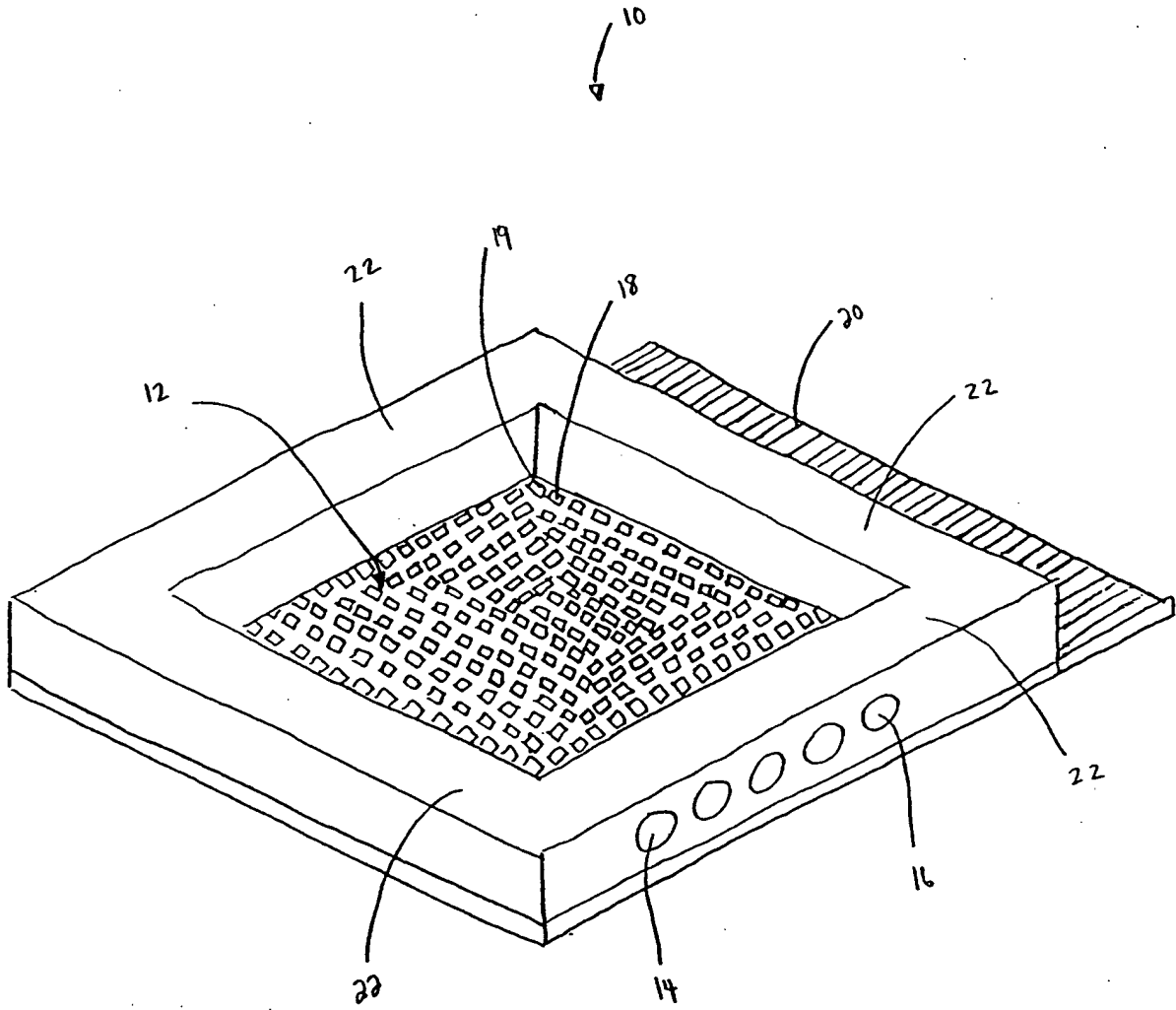


FIG. 4

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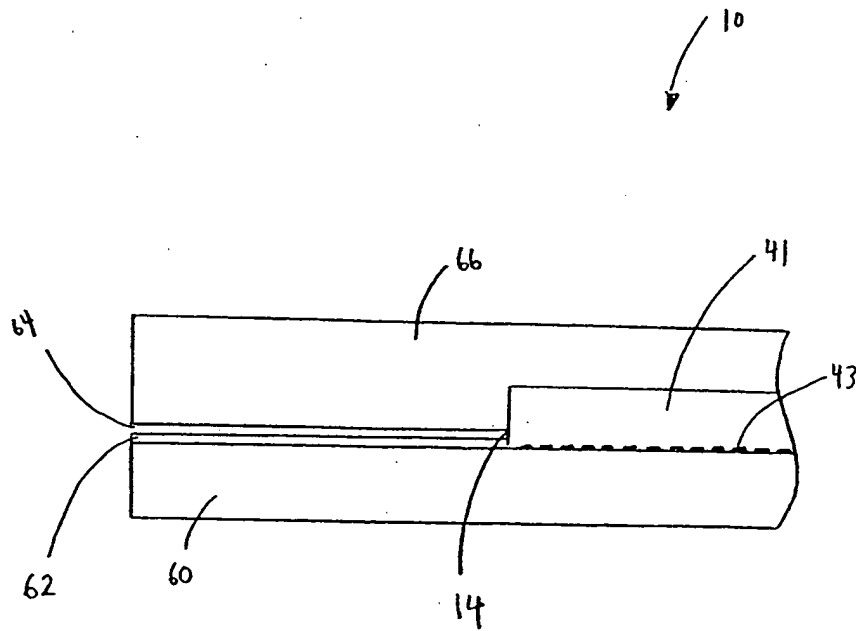


FIG. 5

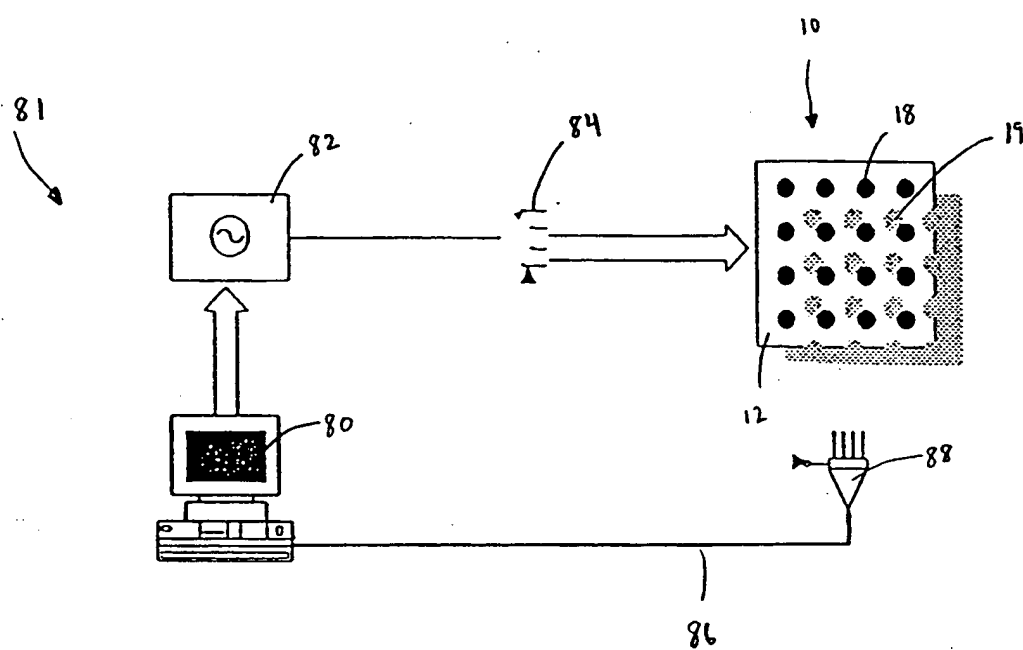


FIG. 6



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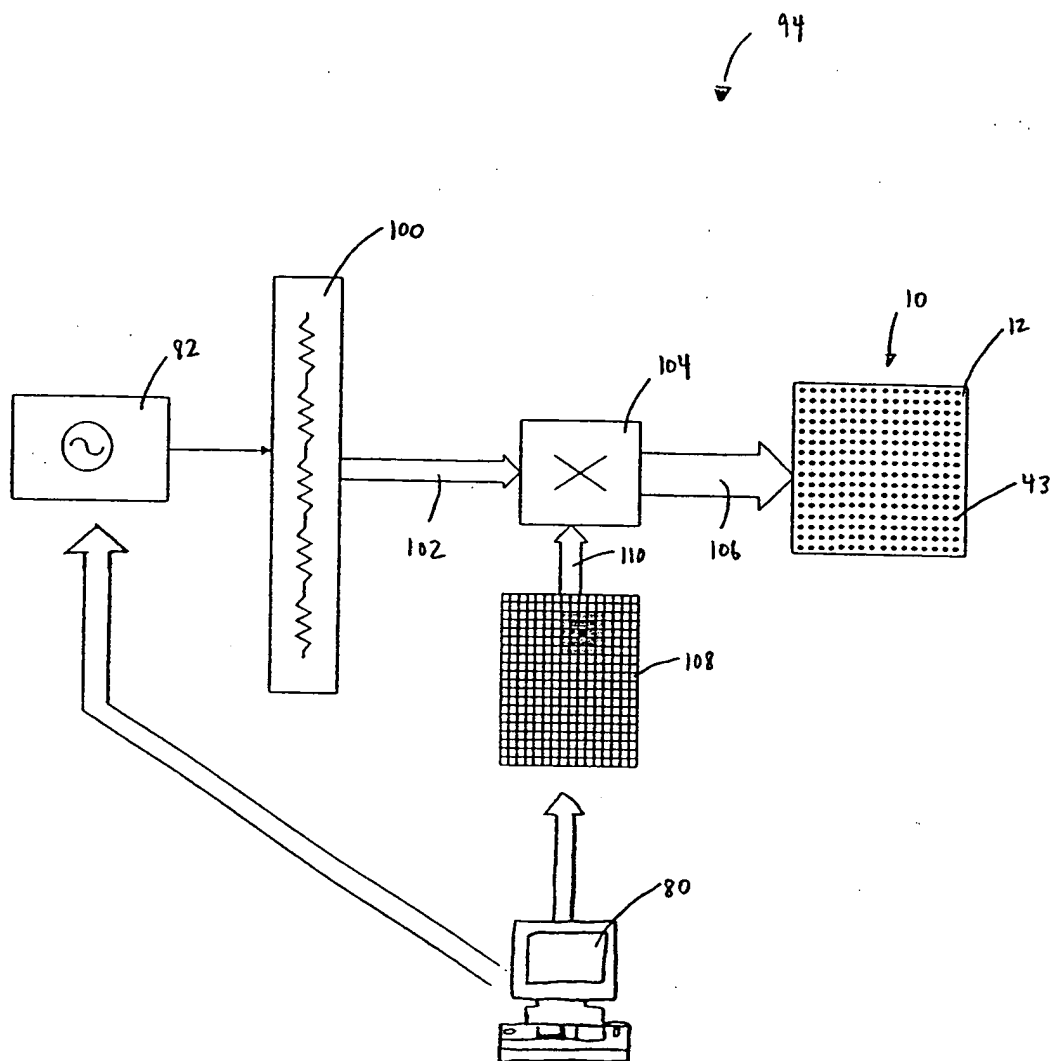


FIG. 7

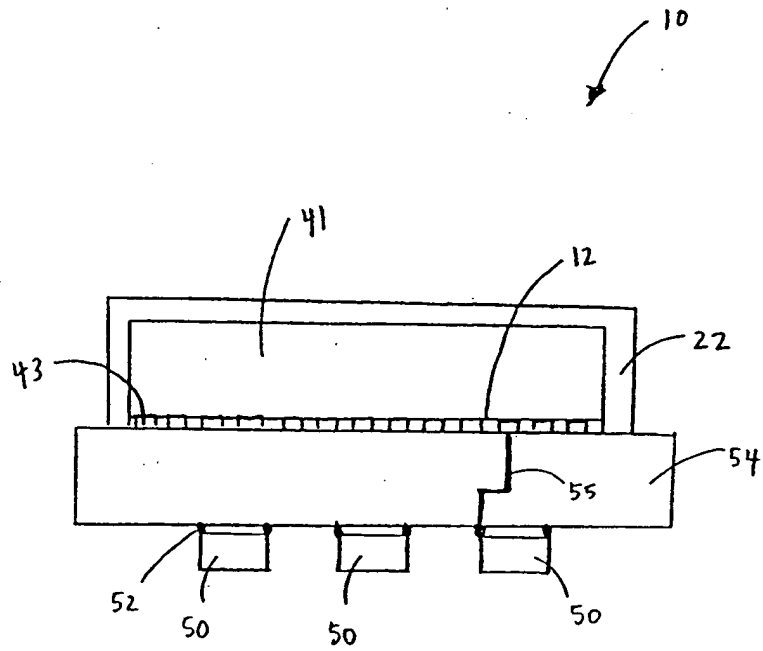


FIG. 8

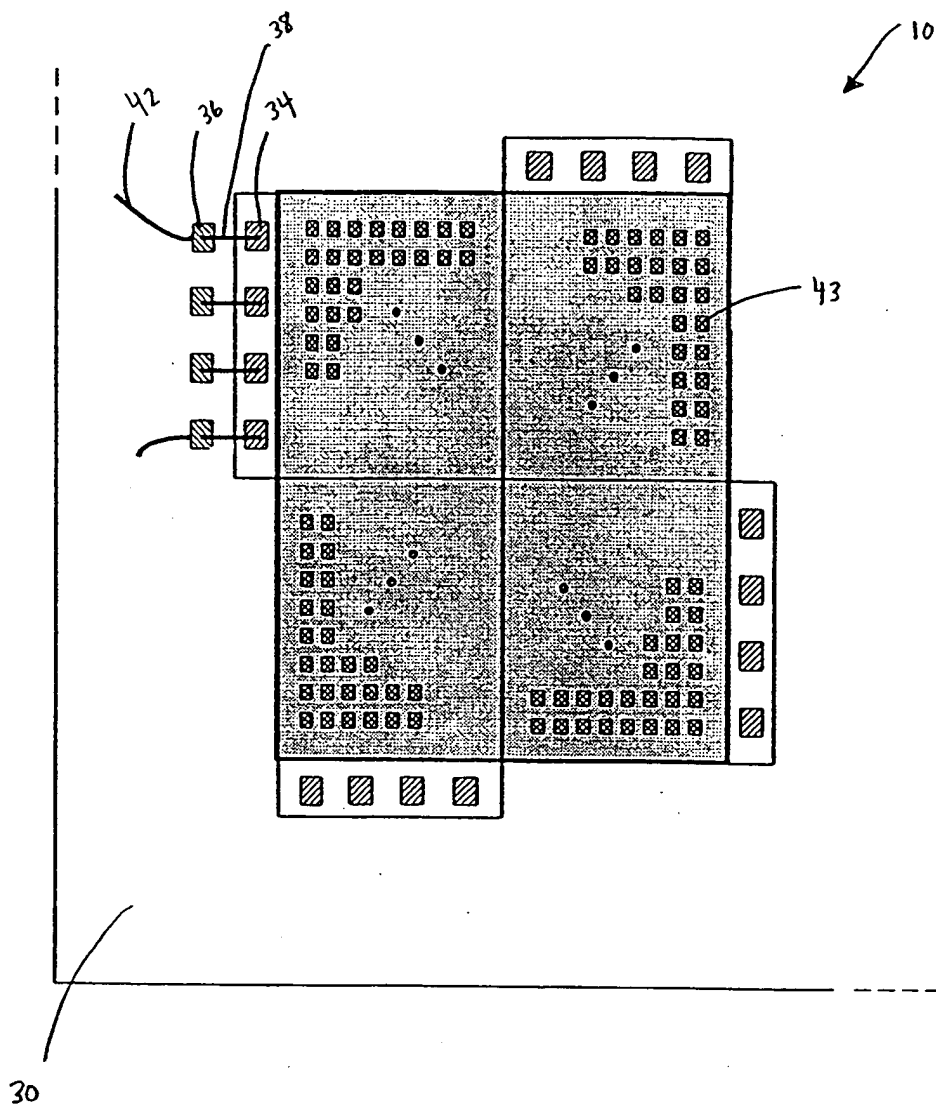


FIG. 9

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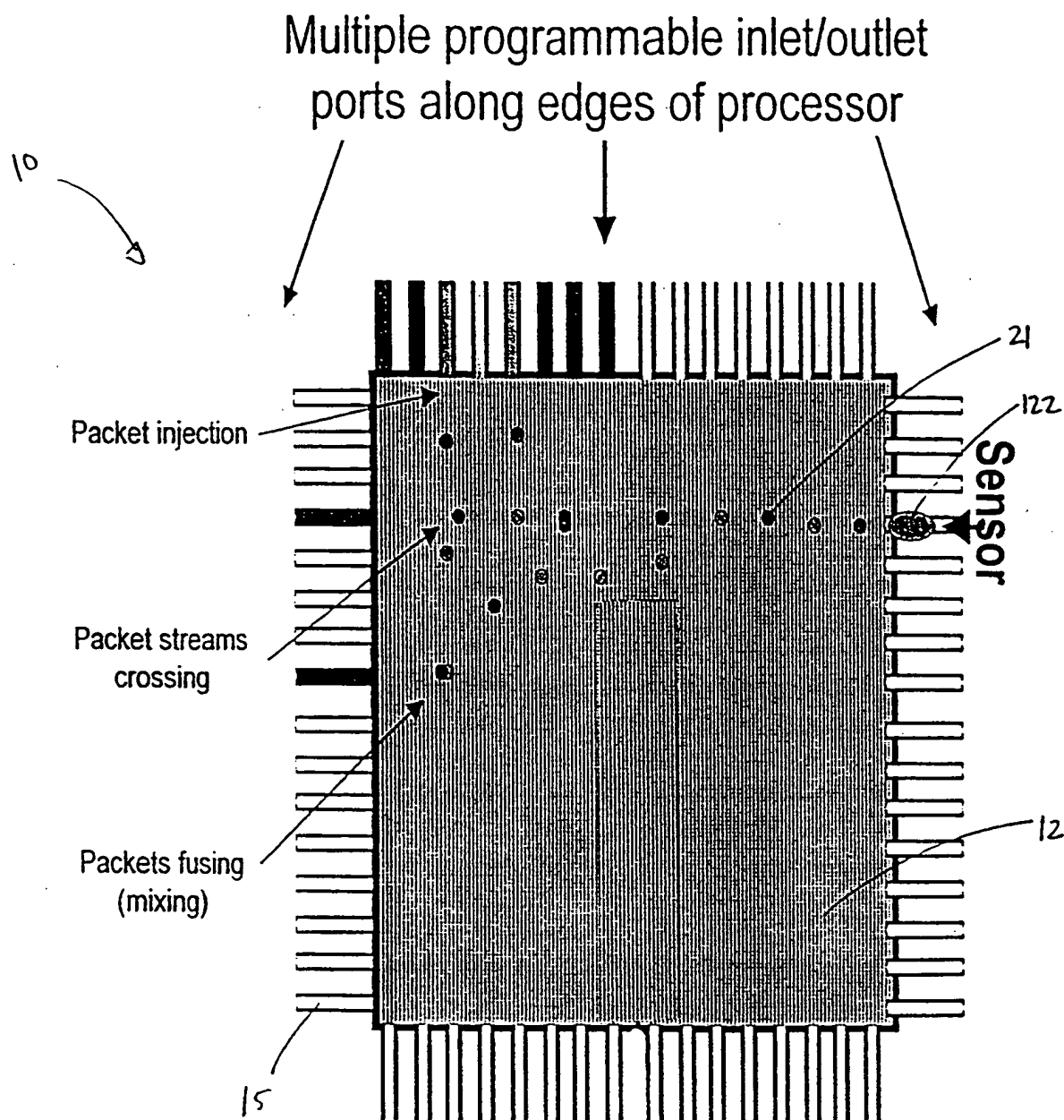


FIG. 9B

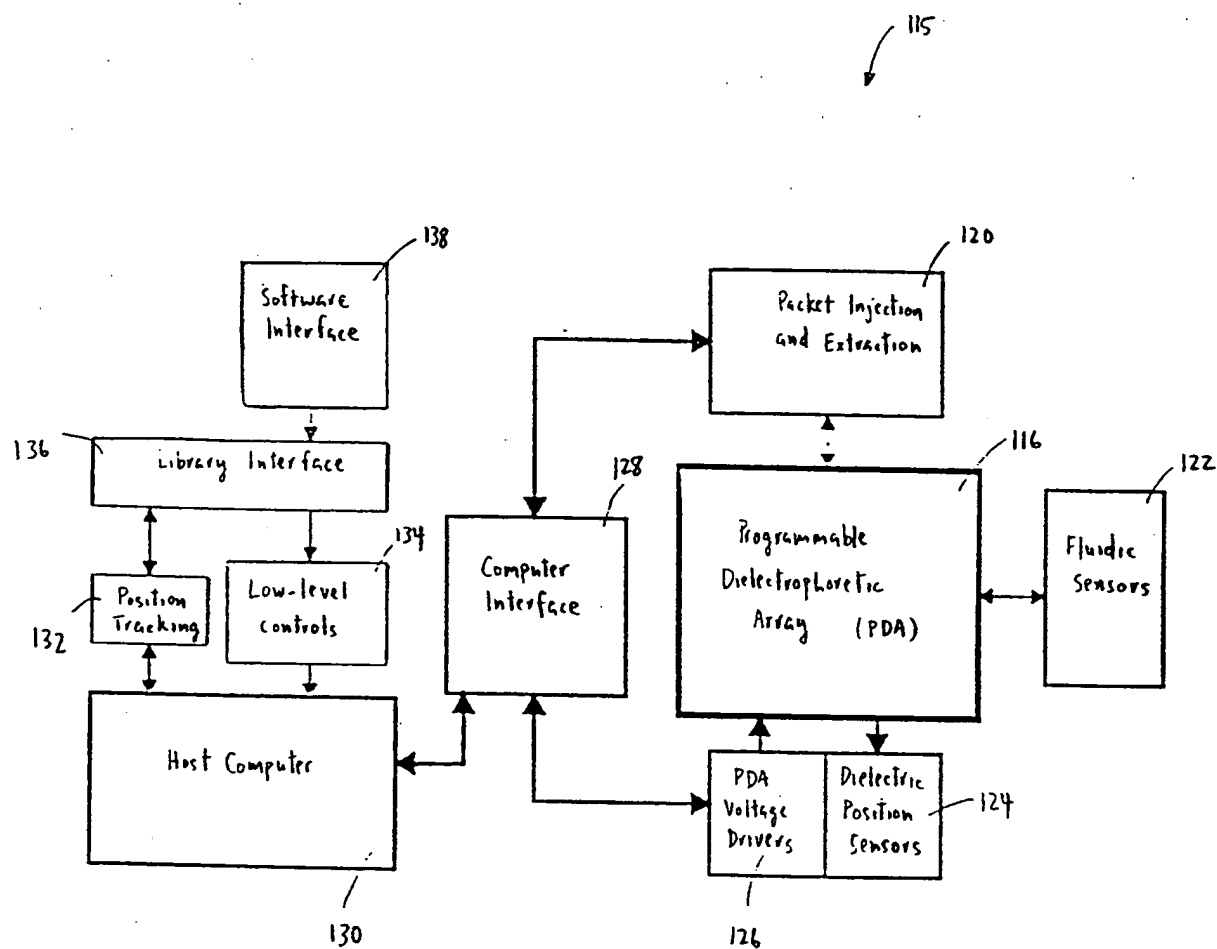


FIG. 10

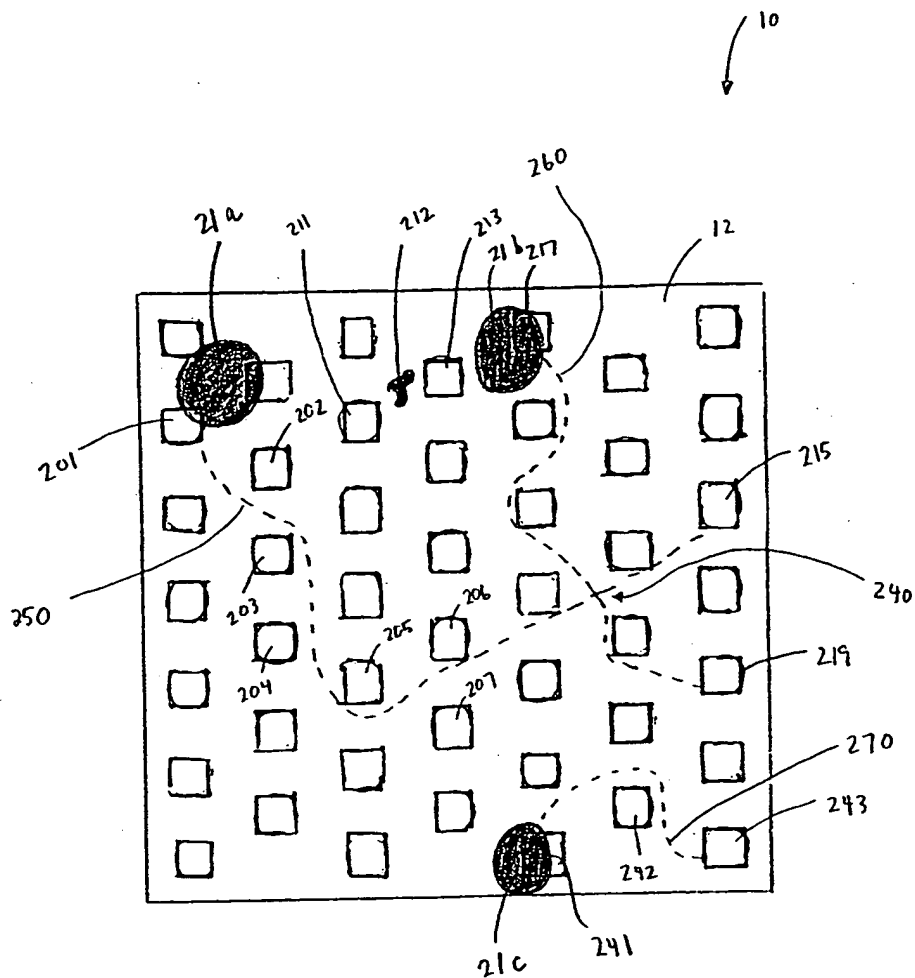


FIG. 11

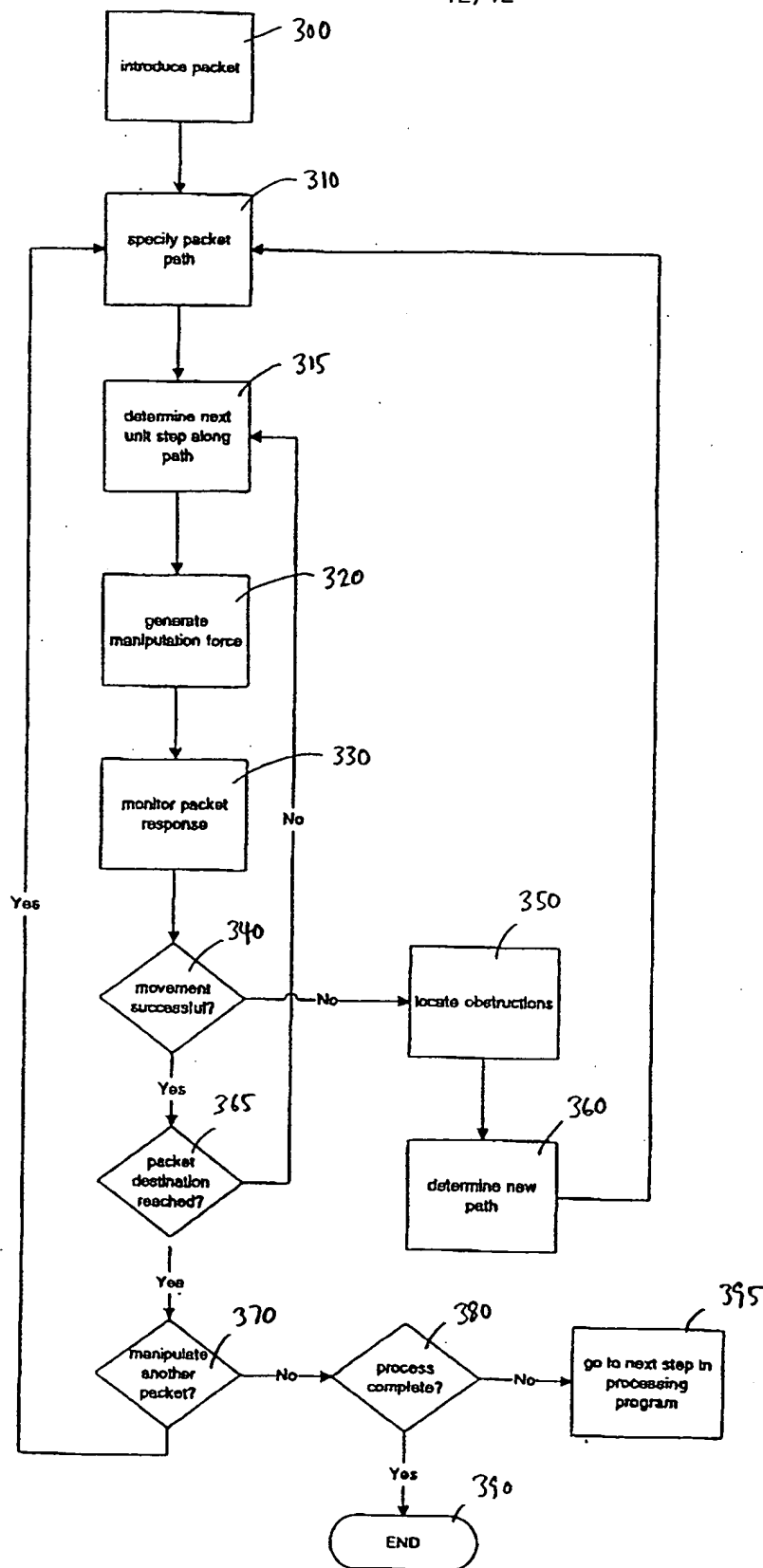


FIG. 13





(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
17 August 2000 (17.08.2000)

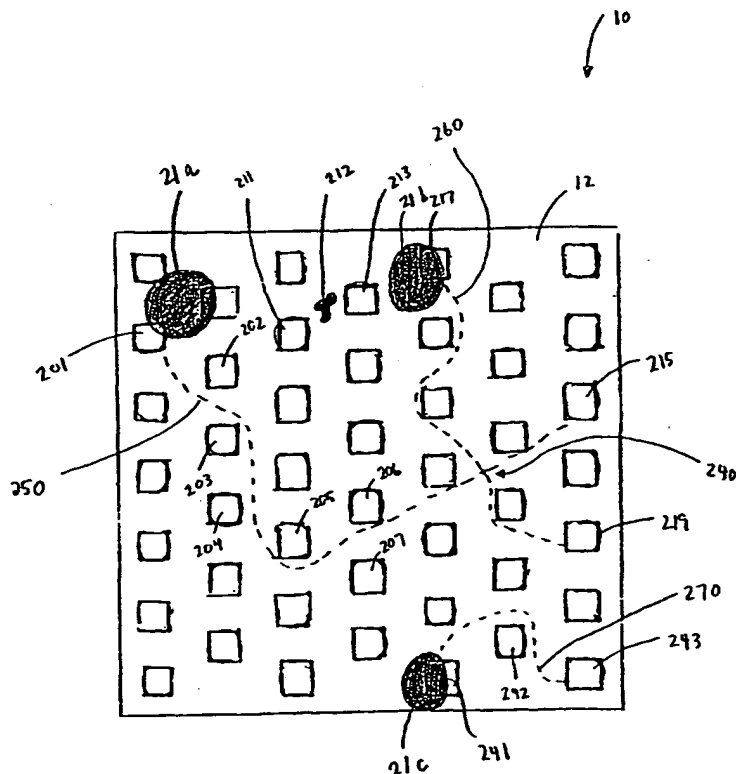
PCT

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- (72) Inventors; and  
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[Continued on next page]

(54) Title: METHOD AND APPARATUS FOR PROGRAMMABLE FLUIDIC PROCESSING



(57) Abstract: A method and apparatus for microfluidic processing by programmably manipulating a packet. A material is introduced onto a reaction surface and compartmentalized to form a packet. A position of the packet is sensed with a position sensor. A programmable manipulation force is applied to the packet at the position. The programmable manipulation force is adjustable according to packet position by a controller. The packet is programmably moved according to the programmable manipulation force along arbitrarily chosen paths.

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(81) Designated States (*national*): CA, JP, US.

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**Published:**

— With international search report.

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/03805

A. CLASSIFICATION OF SUBJECT MATTER  
 IPC 7 B03C5/02 B01L3/00 G01N27/447

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 B01L B03C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 486 337 A (OHKAWA TIHIRO) 23 January 1996 (1996-01-23) column 1, line 31 - line 51 column 2, line 2 - line 61; figures 1-3 column 6, line 63 - column 7, line 10	9, 29
A	FIEDLER S ET AL: "DIELECTROPHORETIC SORTING OF PARTICLES AND CELLS IN A MICROSYSTEM" ANALYTICAL CHEMISTRY, US, AMERICAN CHEMICAL SOCIETY, COLUMBUS, vol. 70, no. 9, 1 May 1998 (1998-05-01), pages 1909-1915, XP000755524 ISSN: 0003-2700 page 1911, column 2, paragraph 6; figure 1A page 1909, column 2, paragraph 2 - page 1910, column 1, paragraph 2	16, 36
	-/-	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

18 July 2000

Date of mailing of the international search report

03.08.00

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## INTERNATIONAL SEARCH REPORT

Inter. Application No

PCT/US 00/03805

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 006 749 A (WHITE RICHARD M) 9 April 1991 (1991-04-09) column 2, line 6 - line 9 column 8, line 25 - line 36 column 9, line 57 - line 64 column 10, line 48 - line 54	9
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A	MILNER K R ET AL: "DIELECTROPHORETIC CLASSIFICATION OF BACTERIA USIN DIFFERENTIAL IMPEDANCE MEASUREMENTS" ELECTRONICS LETTERS, GB, IEE STEVENAGE, vol. 34, no. 1, 8 January 1998 (1998-01-08), pages 66-68, XP000773611 ISSN: 0013-5194 page 66, column 2, last paragraph	9
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A	WASHIZU M: "ELECTROSTATIC ACTUATION OF LIQUID DROPLETS FOR MICROREACTOR APPLICATIONS" IEEE TRANSACTIONS ON INDUSTRY APPLICATIONS, US, IEEE INC. NEW YORK, vol. 34, no. 4, July 1998 (1998-07), pages 732-737, XP000848012 ISSN: 0093-9994 figures	9, 16, 29, 36
A	FUHR G ET AL: "POSITIONING AND MANIPULATION OF CELLS AND MICROPARTICLES USING MINIATURIZED ELECTRIC FIELD TRAPS AND TRAVELLING WAVES" SENSORS AND MATERIALS, JP, SCIENTIFIC PUBLISHING DIVISION OF MYU, TOKYO, vol. 7, no. 2, 1995, pages 131-146, XP000617698 ISSN: 0914-4935 page 145	9, 16, 29, 36

-/-

## INTERNATIONAL SEARCH REPORT

Int'l. Jonal Application No

PCT/US 00/03805

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	NISHIOKA M ET AL: "Micro manipulation of cells and DNA molecules" JOURNAL OF ELECTROSTATICS,NL,ELSEVIER SCIENCE PUBLISHERS B.V. AMSTERDAM, vol. 35, no. 1, 1 July 1995 (1995-07-01), pages 83-91, XP004040917 ISSN: 0304-3886 page 90, paragraph 5	9,16
A	US 5 427 663 A (AUSTIN ROBERT H ET AL) 27 June 1995 (1995-06-27) column 23, line 26 - line 44; claims 46,59,63,75	9,16

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 00/03805

## Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☒ Claims Nos.: 1-8, 20-28  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
see FURTHER INFORMATION sheet PCT/ISA/210
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 1-8,20-28

Present claims 1 and 20 relate to an extremely large number of possible apparatuses and methods. Support within the meaning of Article 6 PCT is to be found, however, for only a very small proportion of the apparatuses and methods claimed. A meaningful search over the whole of the claimed scope is impossible. Consequently, the search has been carried out for claims 9-19 and 29-41, which relate to the embodiments fully disclosed in the application.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 00/03805

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
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